

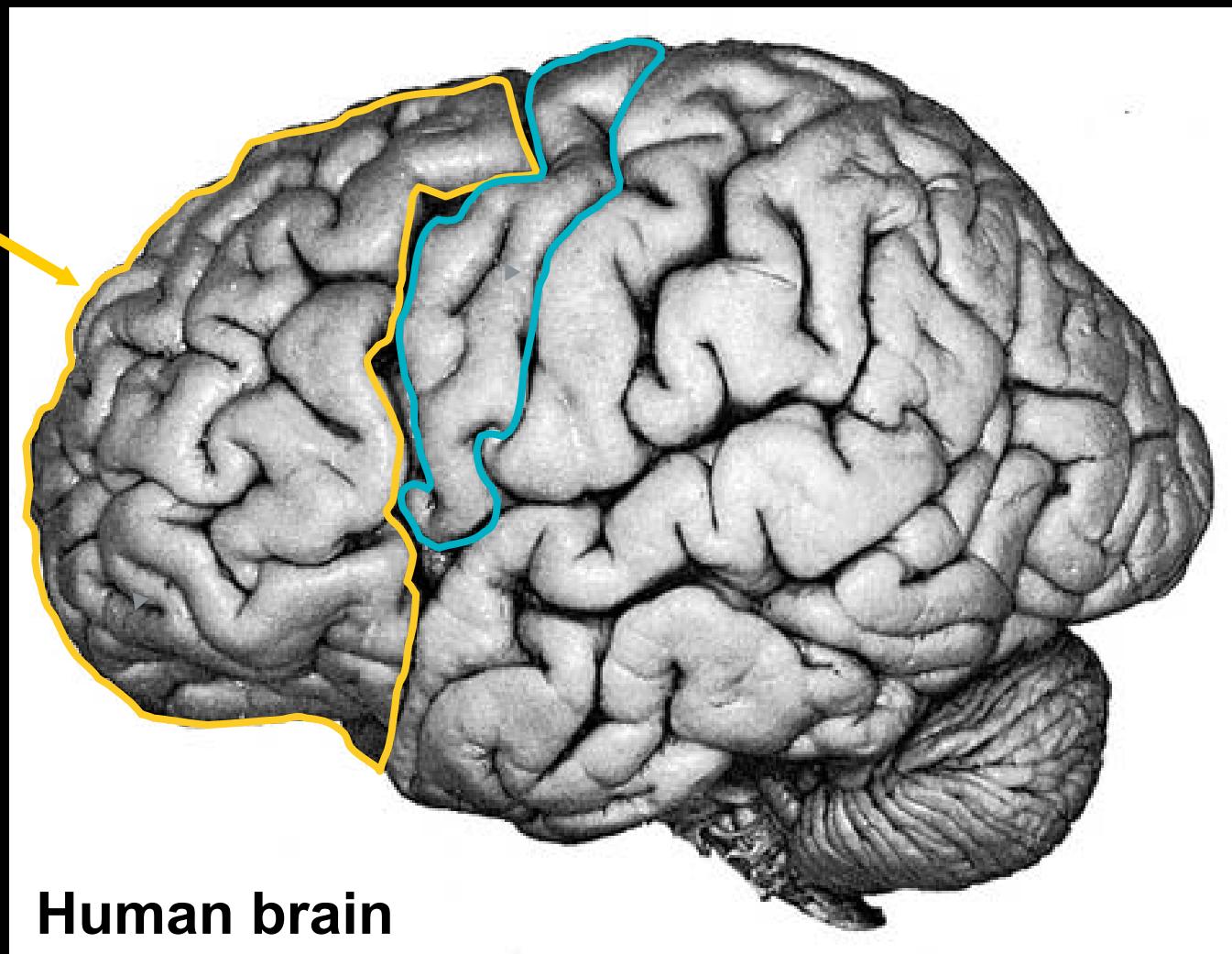


Décision et fonction exécutive préfrontale

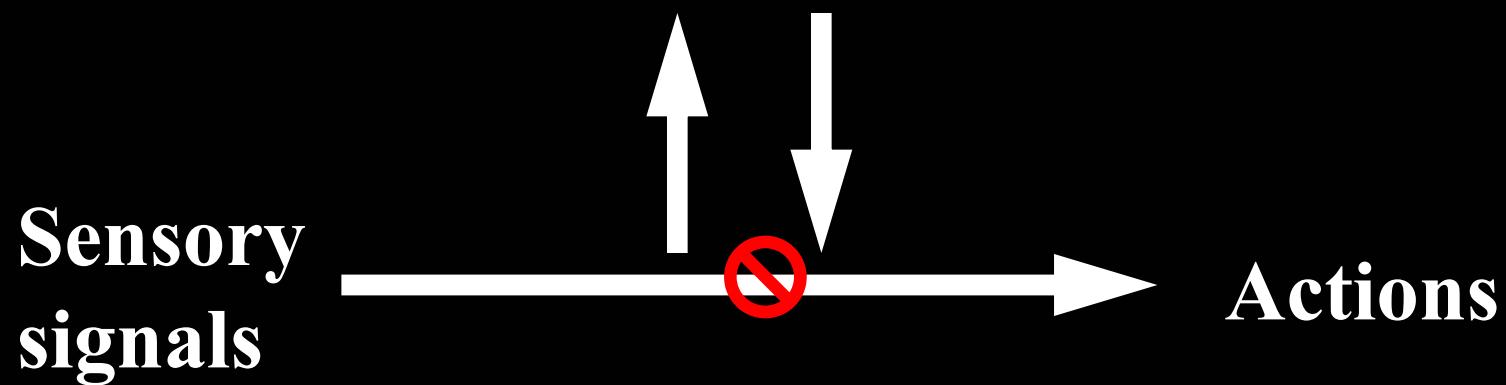
Etienne Koechlin

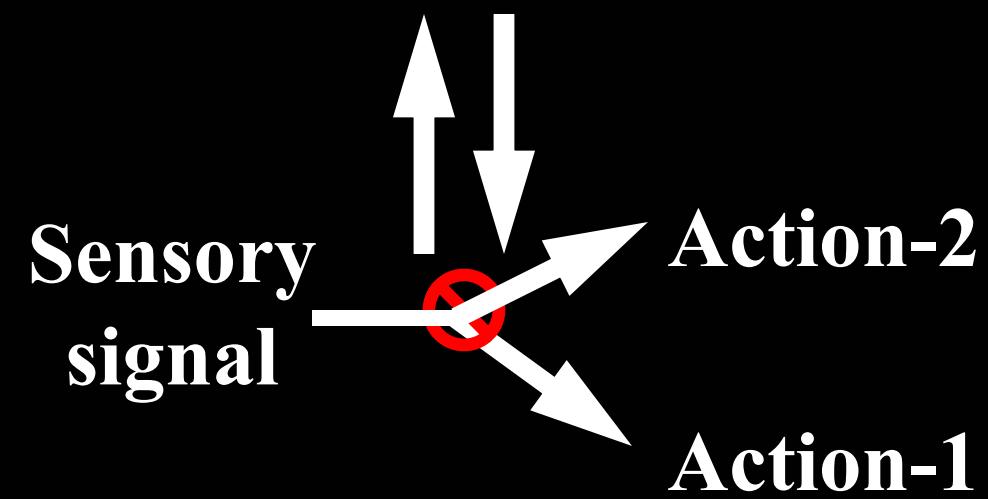
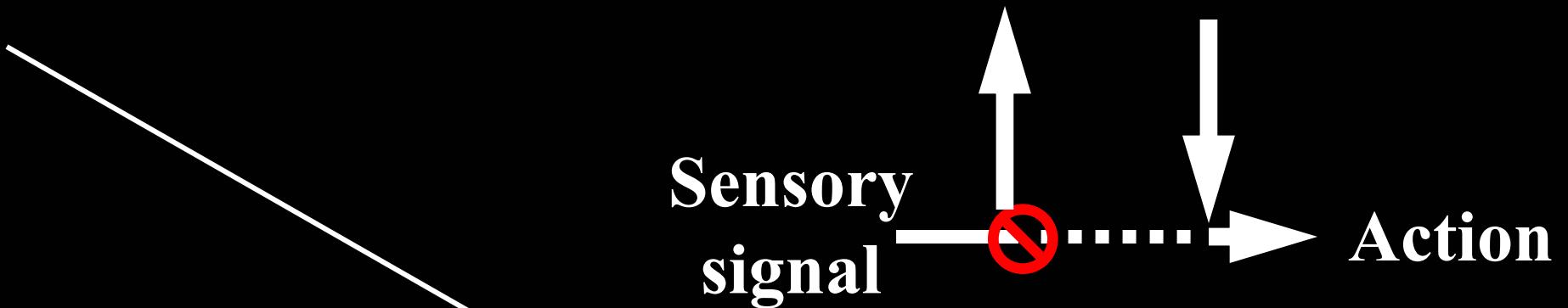
*Institut National de la Santé et de la Recherche Médicale,
Université Pierre et Marie Curie,
Ecole Normale Supérieure,
Paris, France.*

The prefrontal cortex

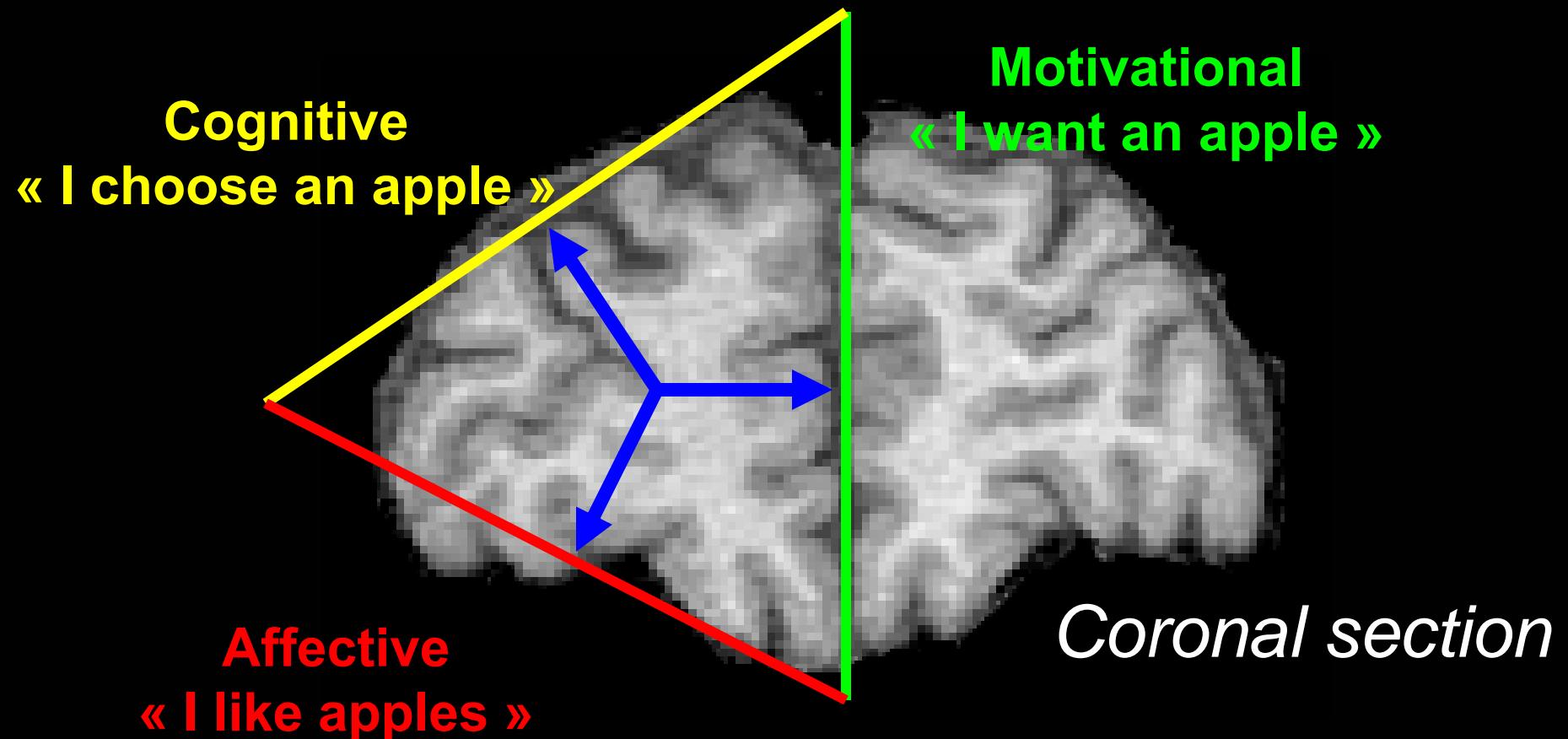


Prefrontal Executive Function





Executive Control in the Human Prefrontal cortex



Coronal section

Summerfield & Koechlin, in press

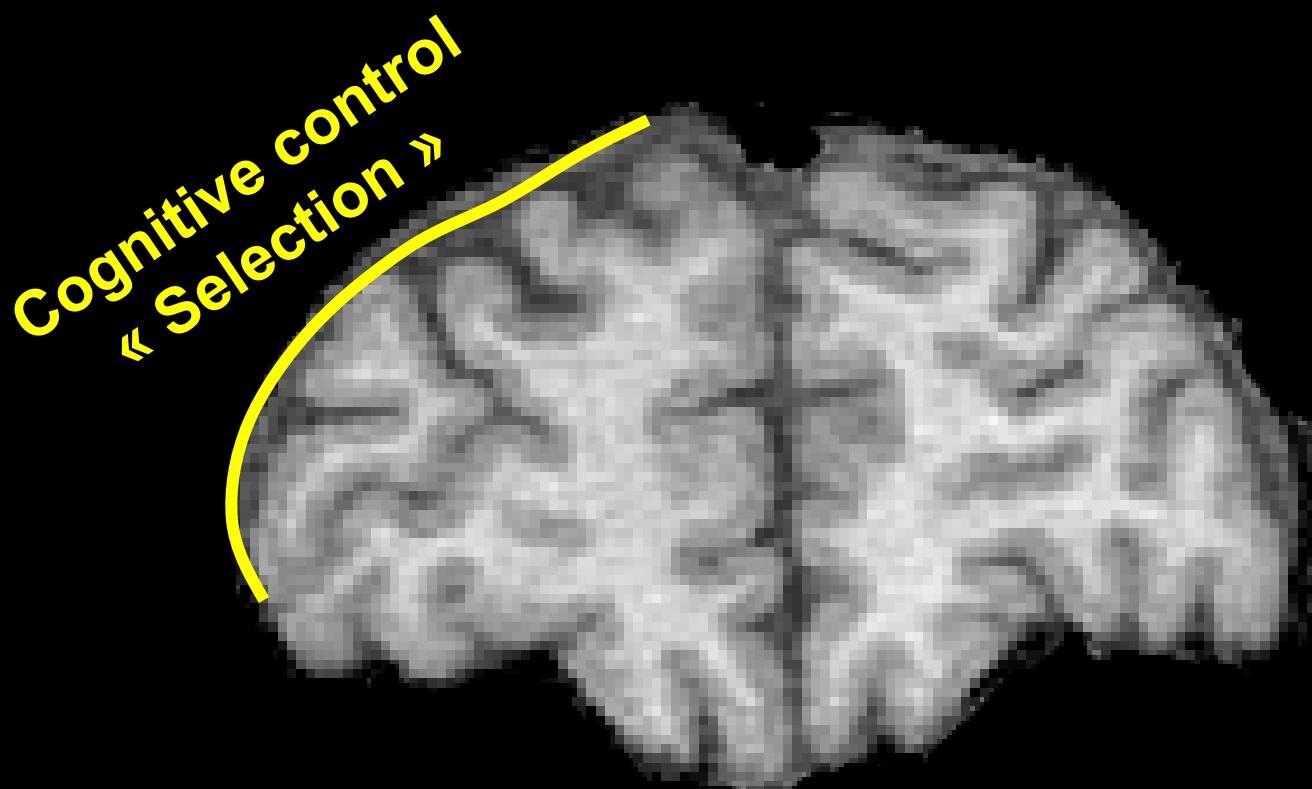
How the PFC function achieves action selection?

« *Cognitive control* »

How the engagement of the PFC function is driven?

« *Motivation* »

Hypothesis: the LPFC subserves cognitive control



PFC coronal section

Cognitive Control and Information Theory

Total Information
for selecting
action A

Mutual Information
between
Signal S & action A

Remaining Information
for selecting action A
unrelated to signal S

$$\begin{aligned} Q(A) &= I(S,A) + Q(A/S) \\ &= \log_2 \frac{P(S,A)}{P(S)P(A)} + [-\log_2 P(A/S)] \end{aligned}$$

Measures Cognitive Control ...

Predictions

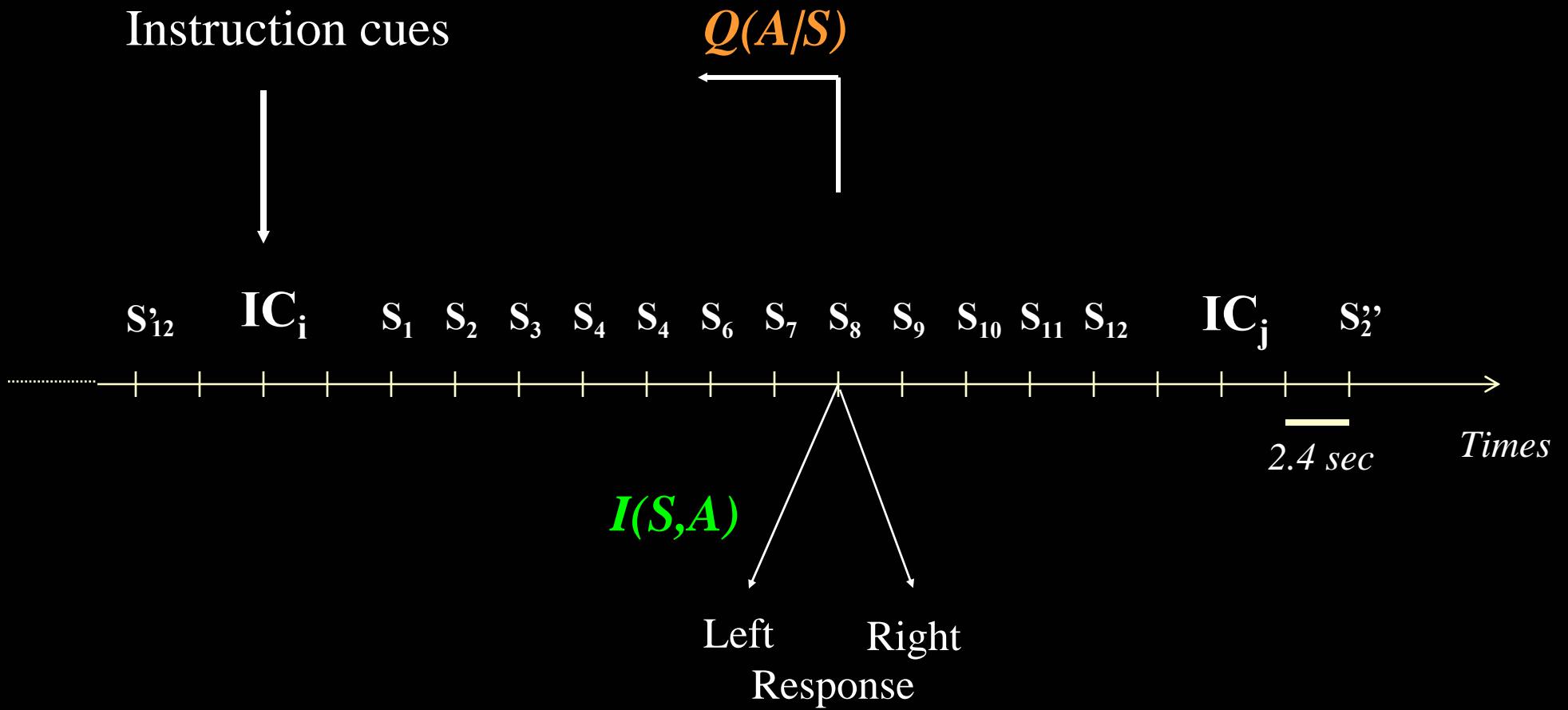
Reaction Times

- RTs $\sim Q(A) = I(S,A) + Q(A/S)$

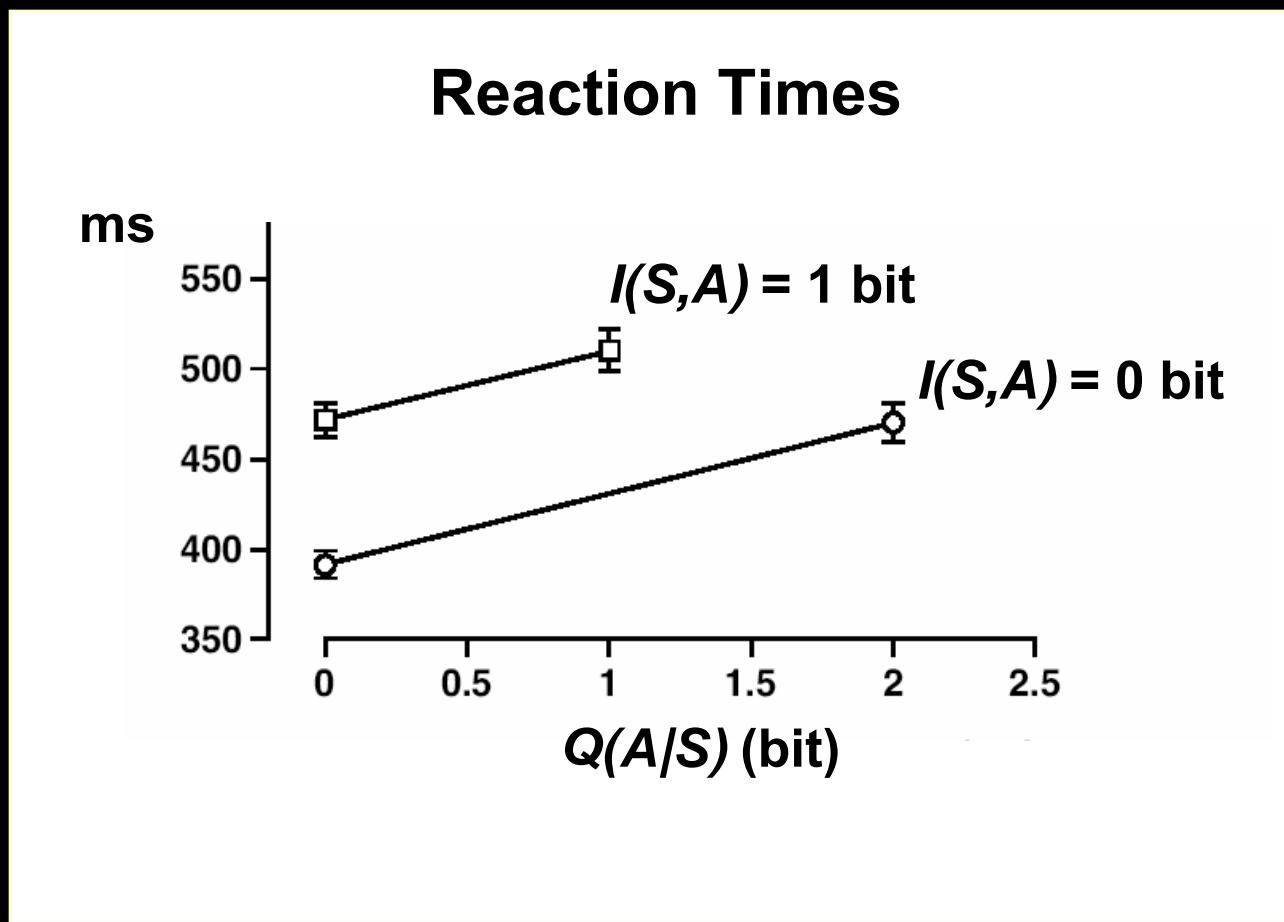
fMRI Activations

- LPFC $\sim Q(A/S)$
- PM $\sim Q(A) = I(S,A) + Q(A/S)$

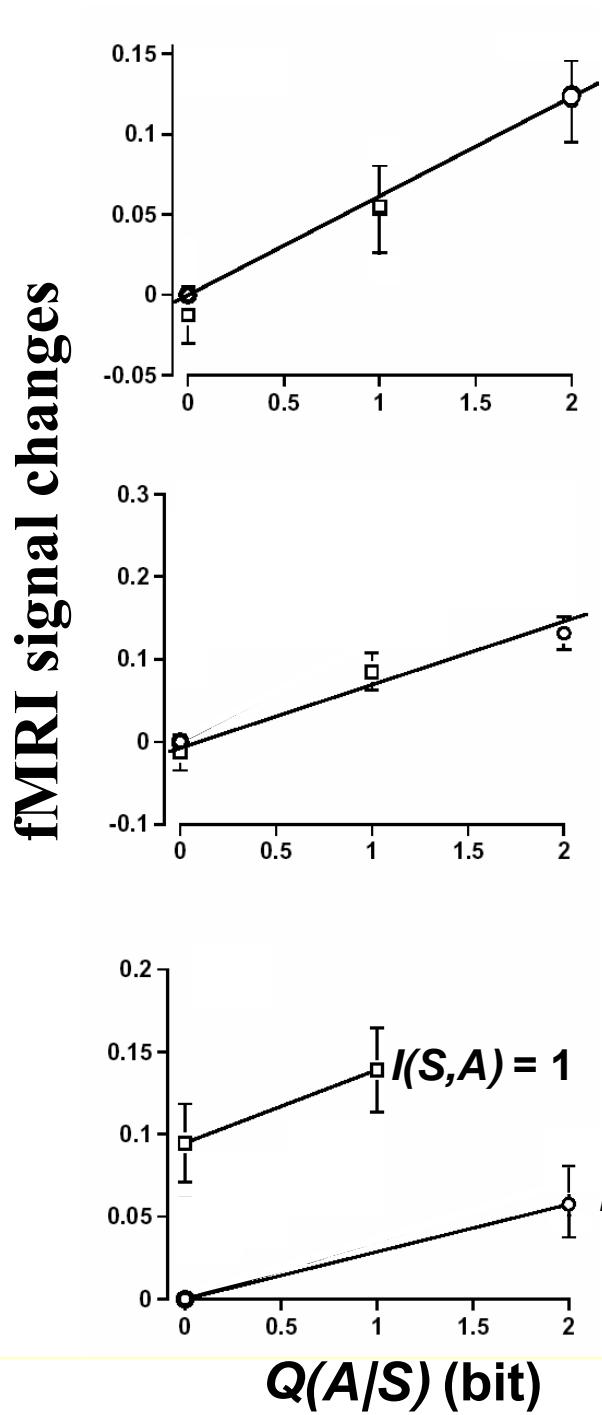
Experimental Protocol



Behavioral results



fMRI data



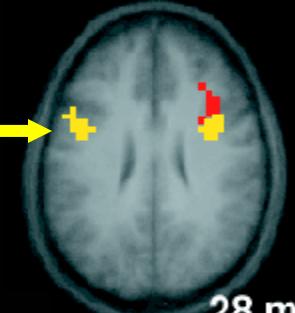
Ant. LPFC

Pos. LPFC

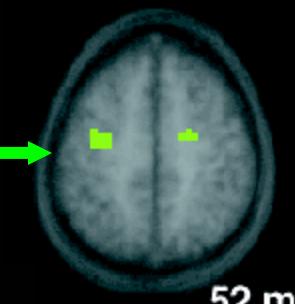
Premotor



4 mm



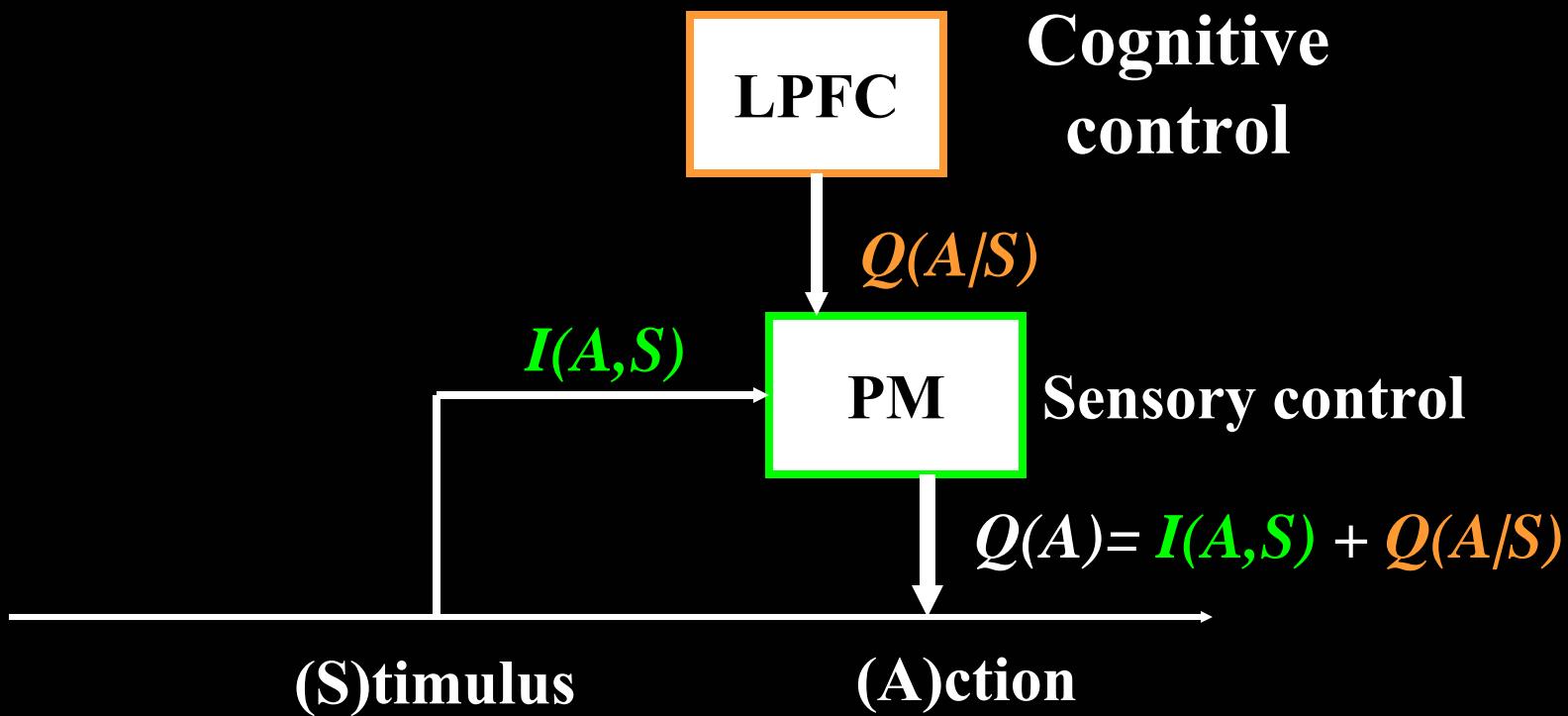
28 mm



52 mm

Koechlin et al., *Science*, 2003

The model



Is Cognitive Control fractionable?

Back to Information Theory

Cognitive
control

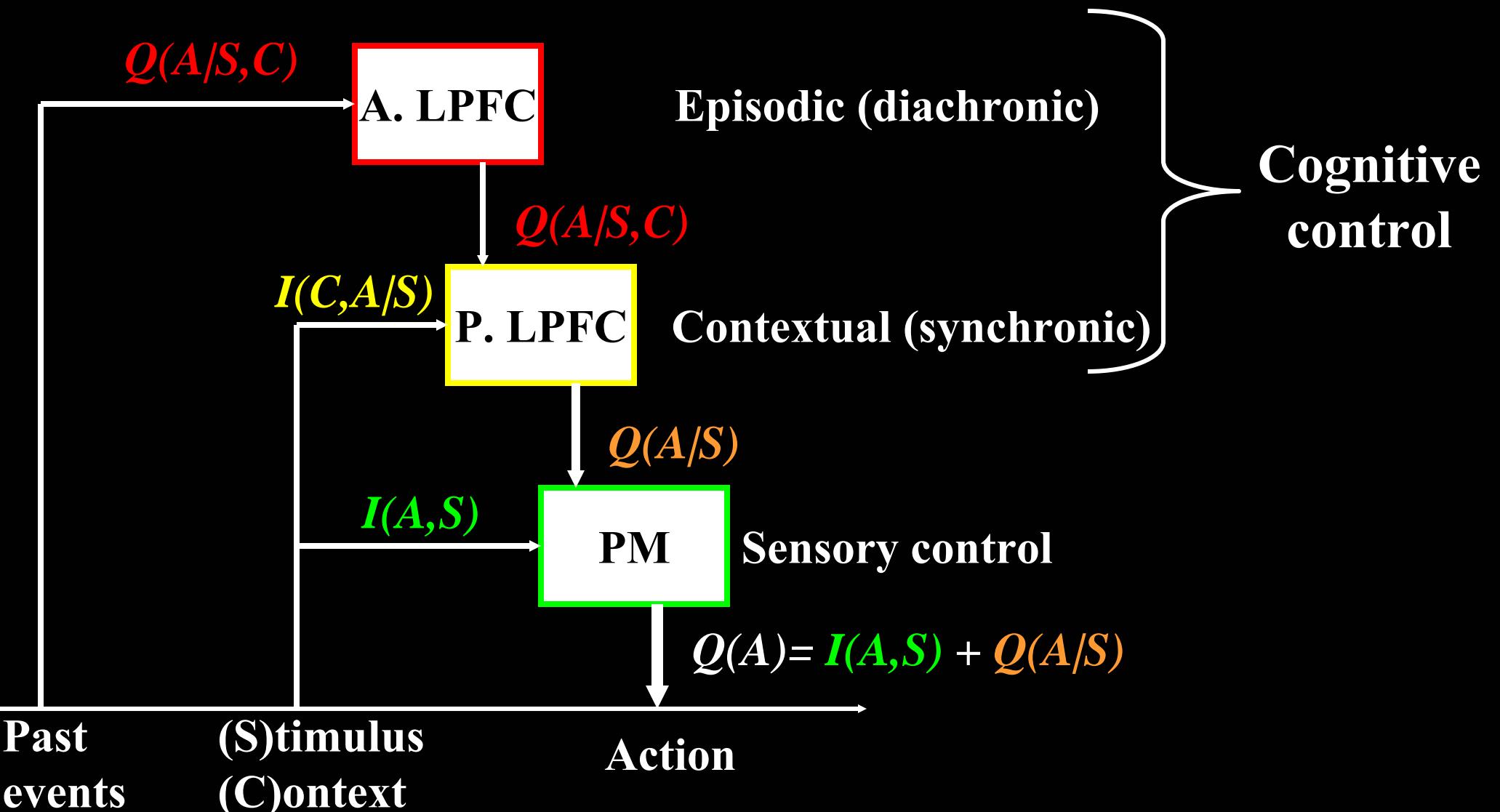
Contextual
control

Episodic
control

$$Q(A/S) = I(C, A/S) + Q(A/S, C)$$

$$Q(A) = I(S, A) + Q(A/S)$$

The cascade architecture



Predictions

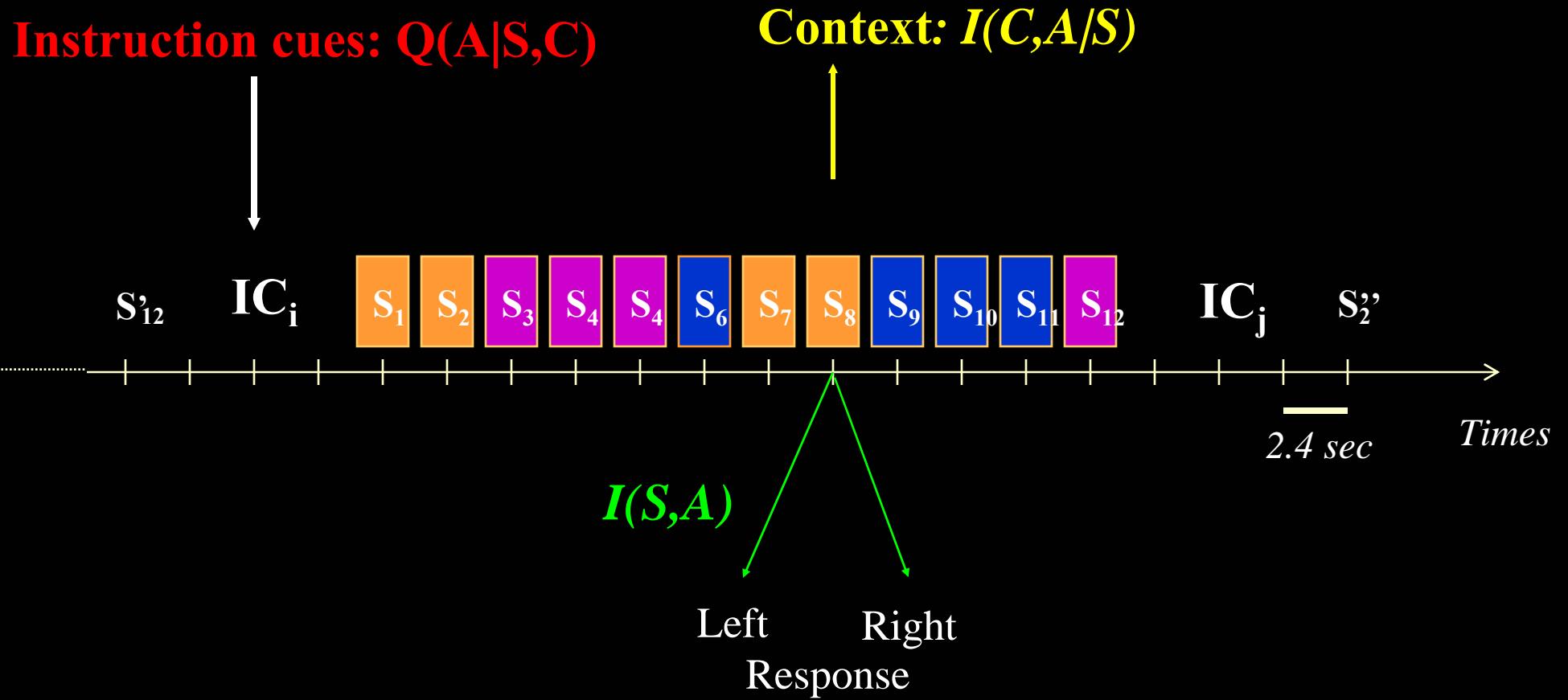
Reaction Times

- RTs $\sim I(S,A) + I(C,A/S) + Q(A/S,C)$

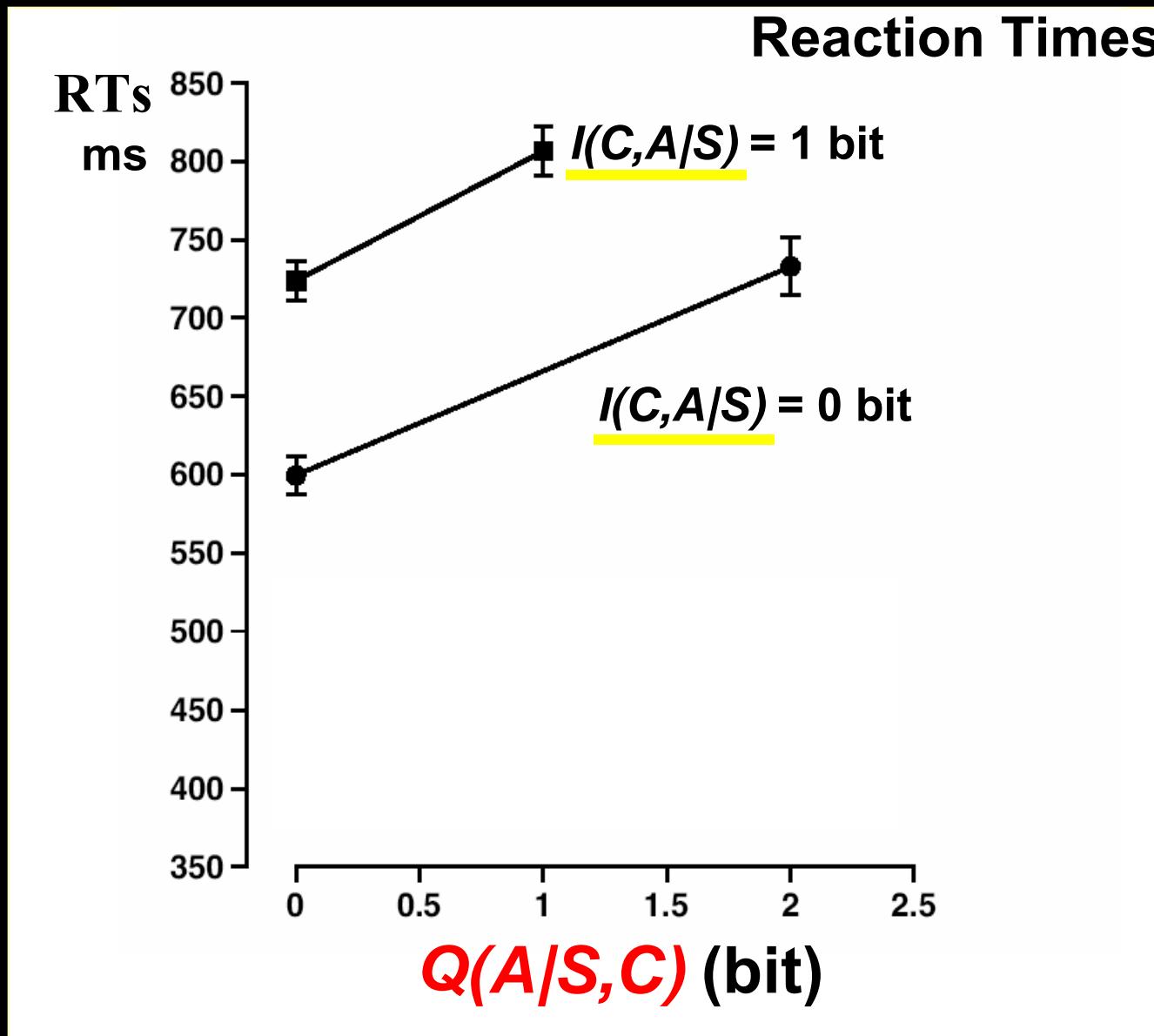
fMRI Activations

- A. LPFC $\sim Q(A/S,C)$
- P. LPFC $\sim I(C,A/S) + Q(A/S,C)$
- PM $\sim I(S,A) + I(C,A/S) + Q(A/S,C)$

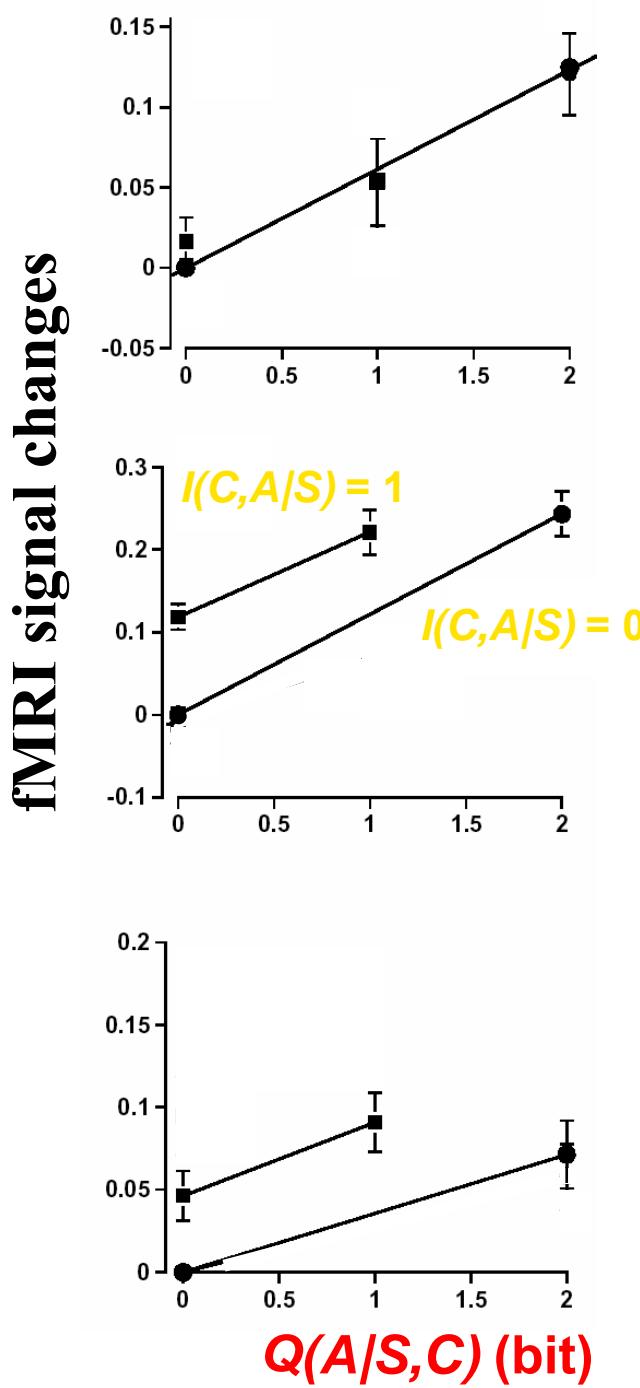
Experimental Protocol



Behavioral results



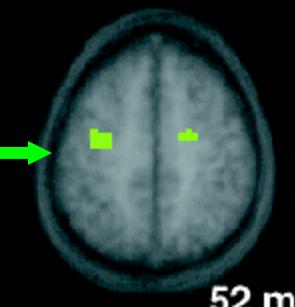
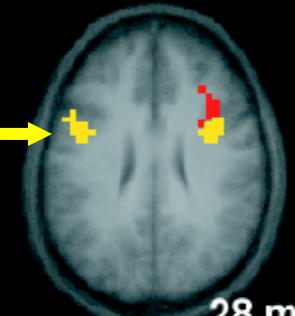
Koechlin, Ody, Kouneiher, *Science*, 2003



Ant. LPFC

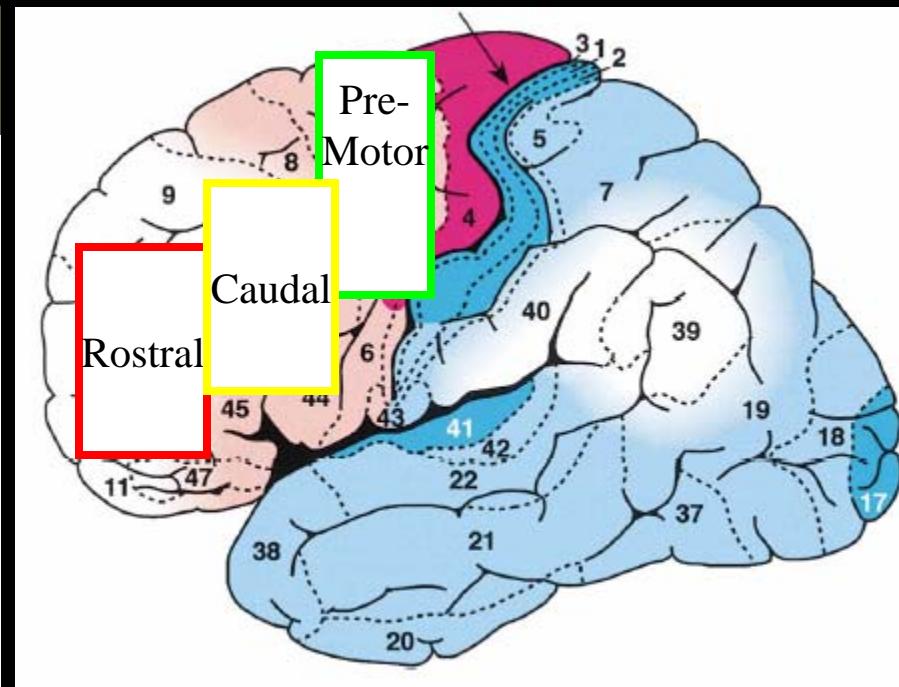
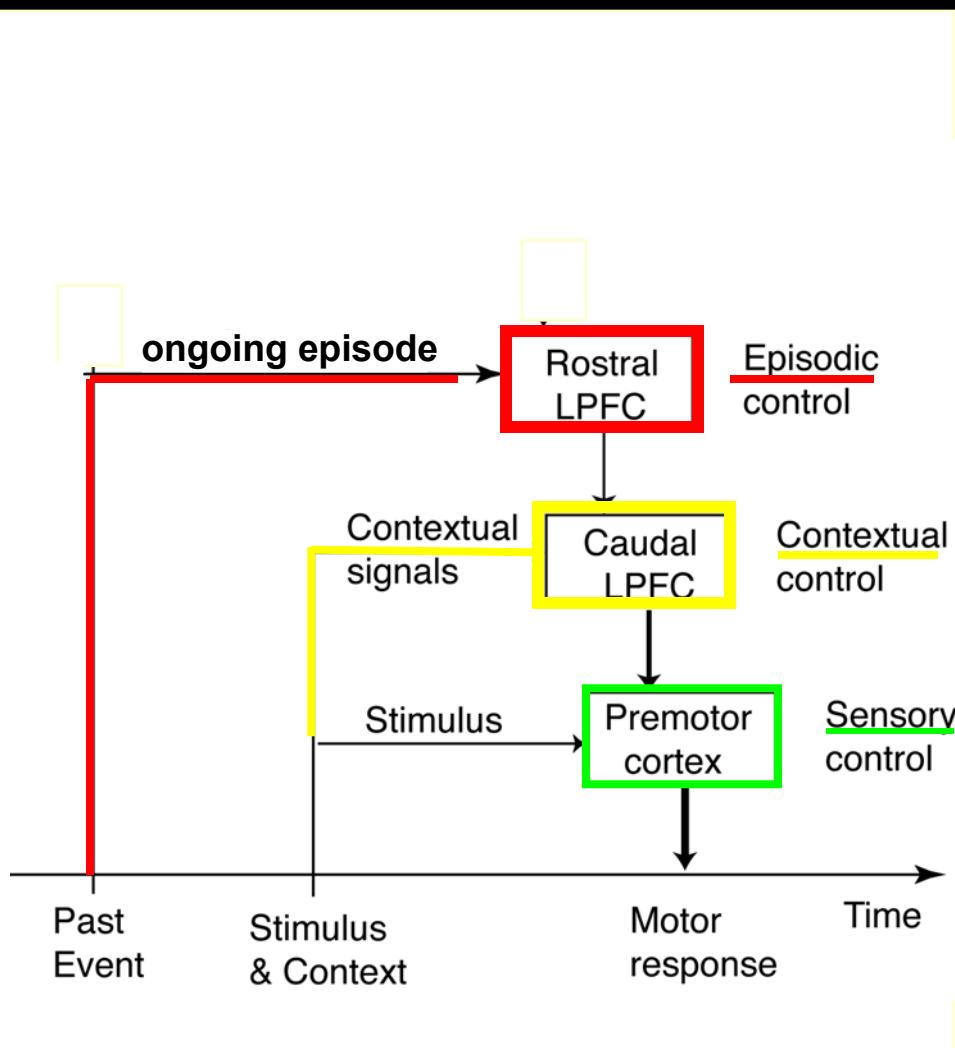
Pos. LPFC

Premotor



Koechlin et al., Science, 2003

Contextual vs. episodic control in the LPFC

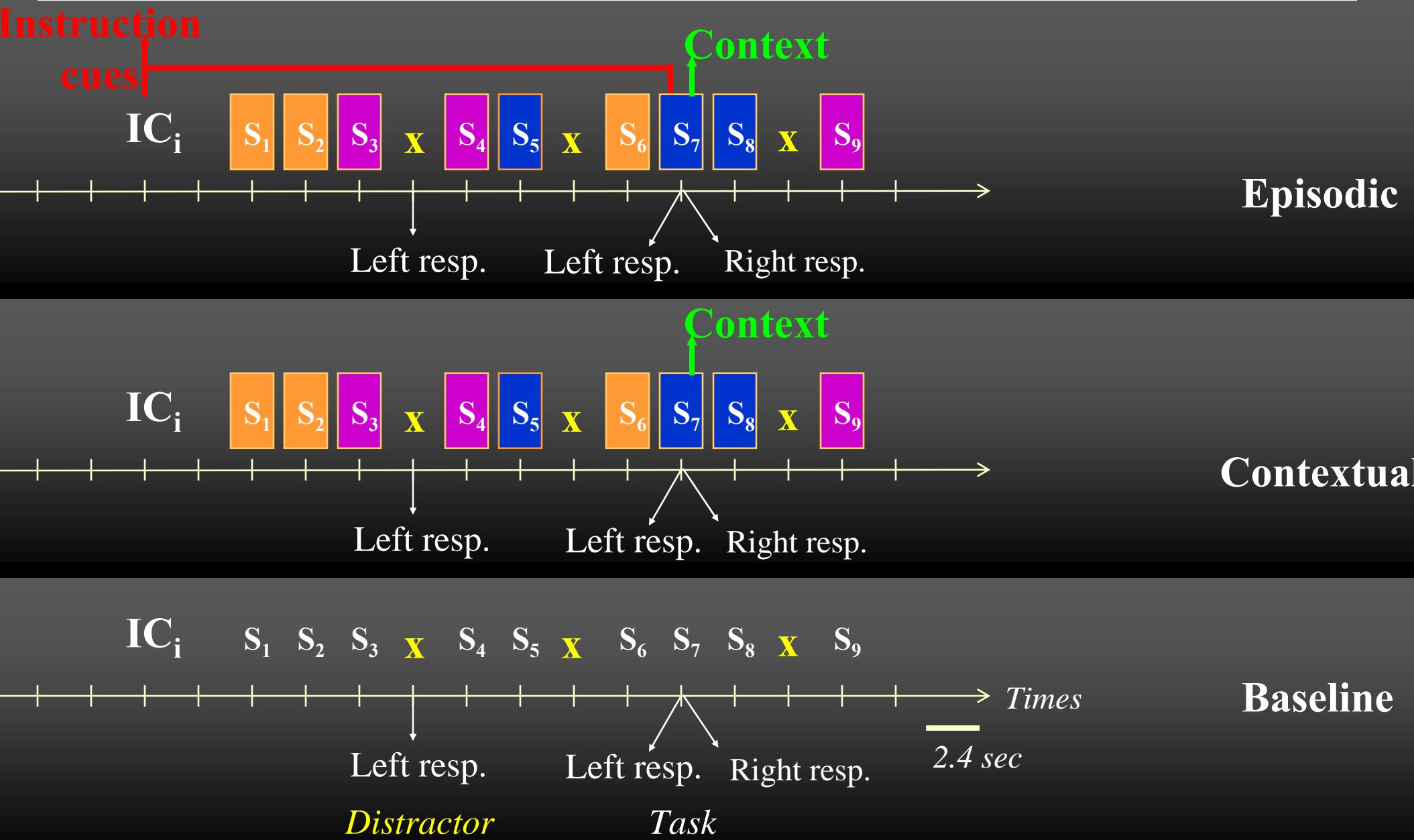


Temporal dimensions of cognitive control

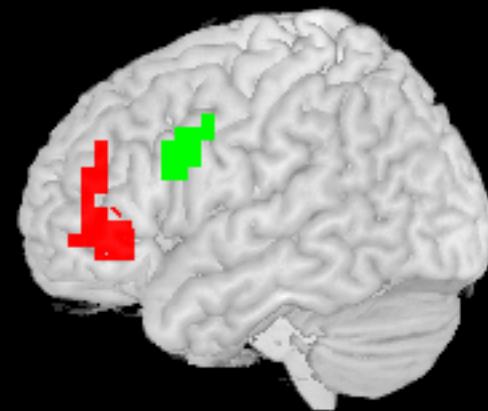
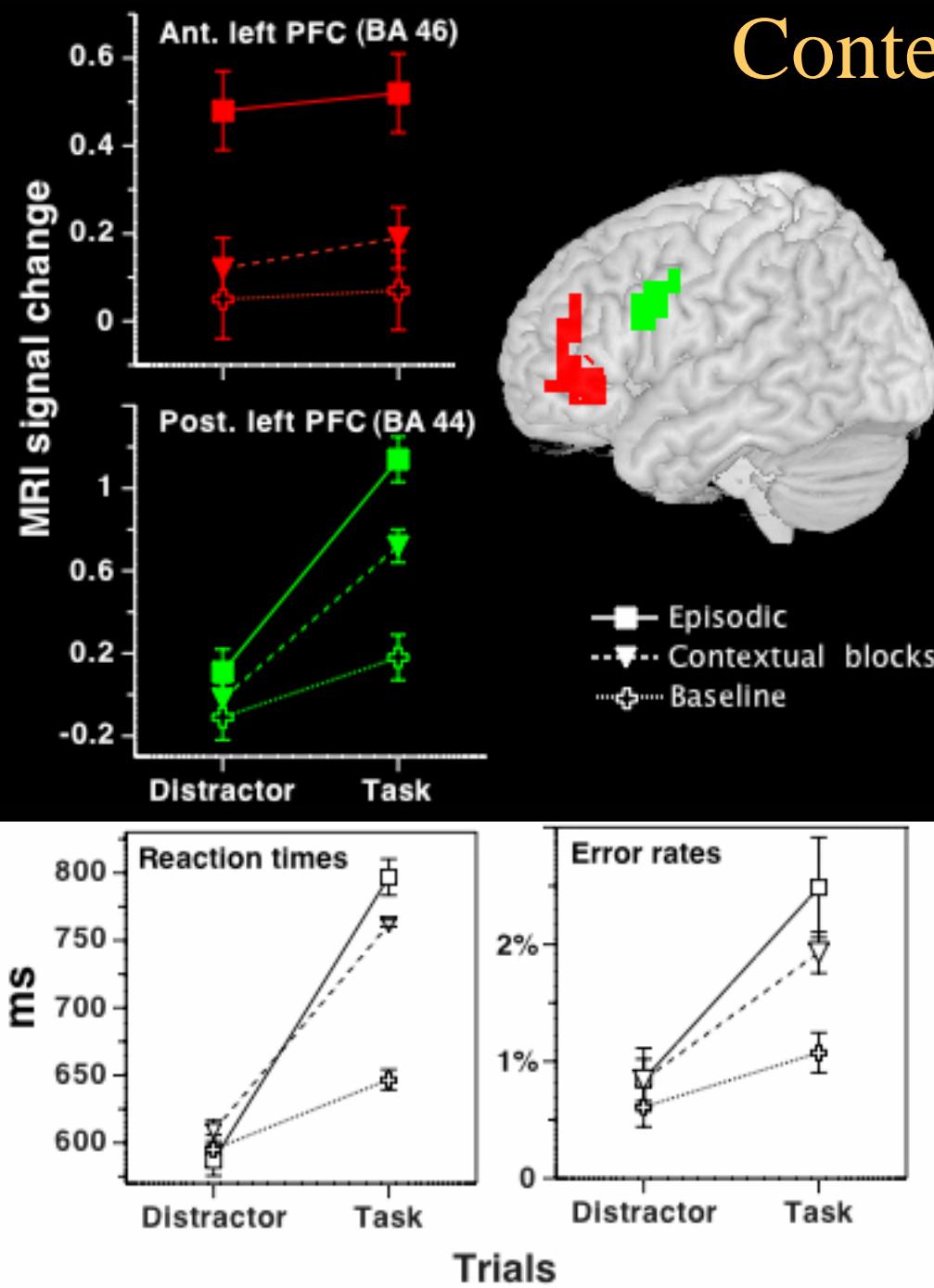
Synchronic

Diachronic

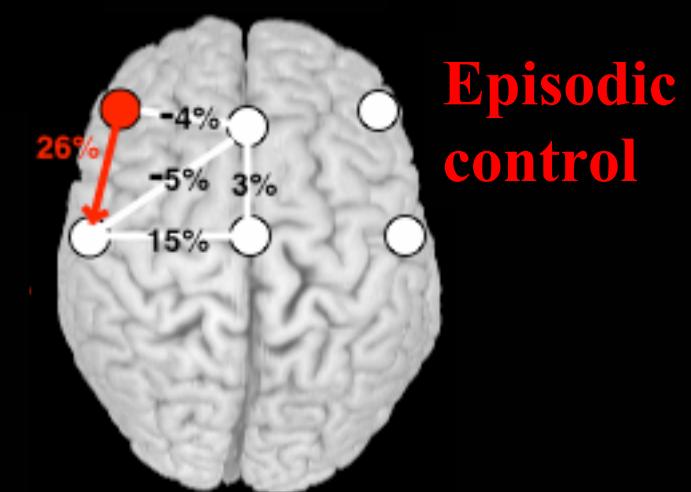
Experimental Protocol: cognitive factors



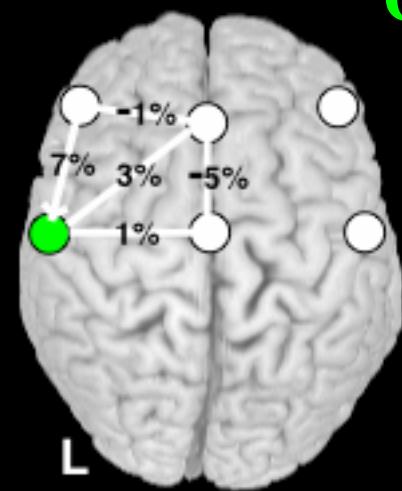
Contextual vs. Episodic control



Episodic
Contextual blocks
Baseline



Episodic control

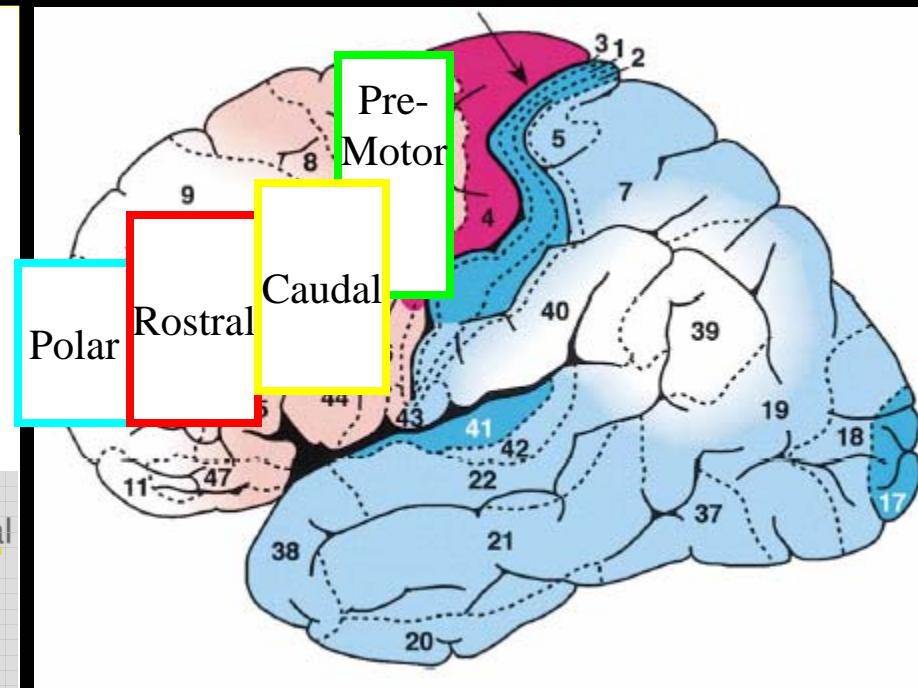
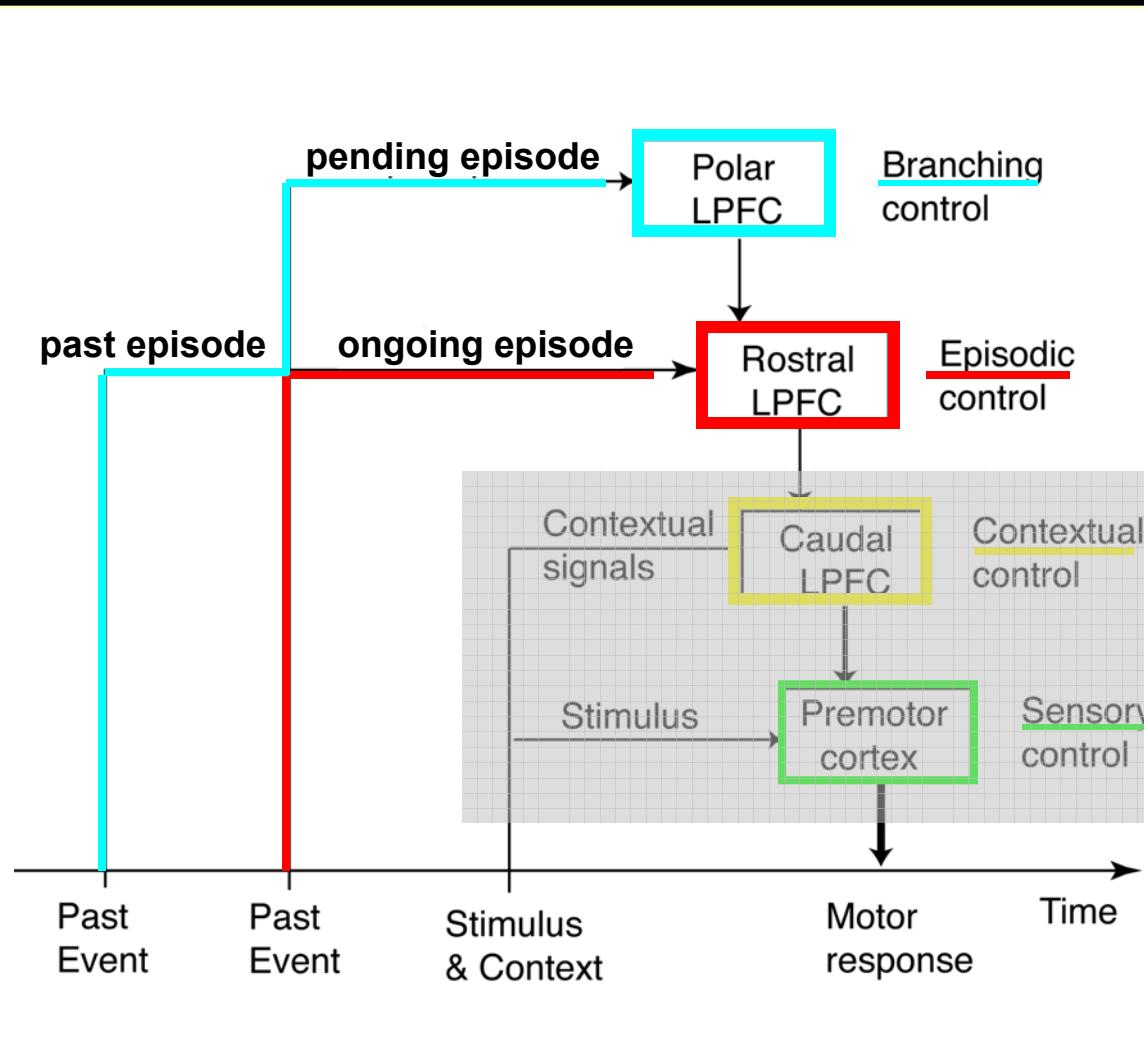


Contextual control

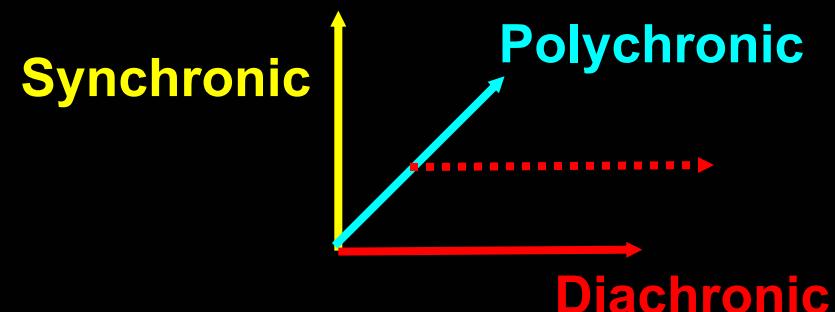
Is cognitive control further fractionable ?

Yes ...

Episodic vs. branching control



Temporal dimensions of cognitive control

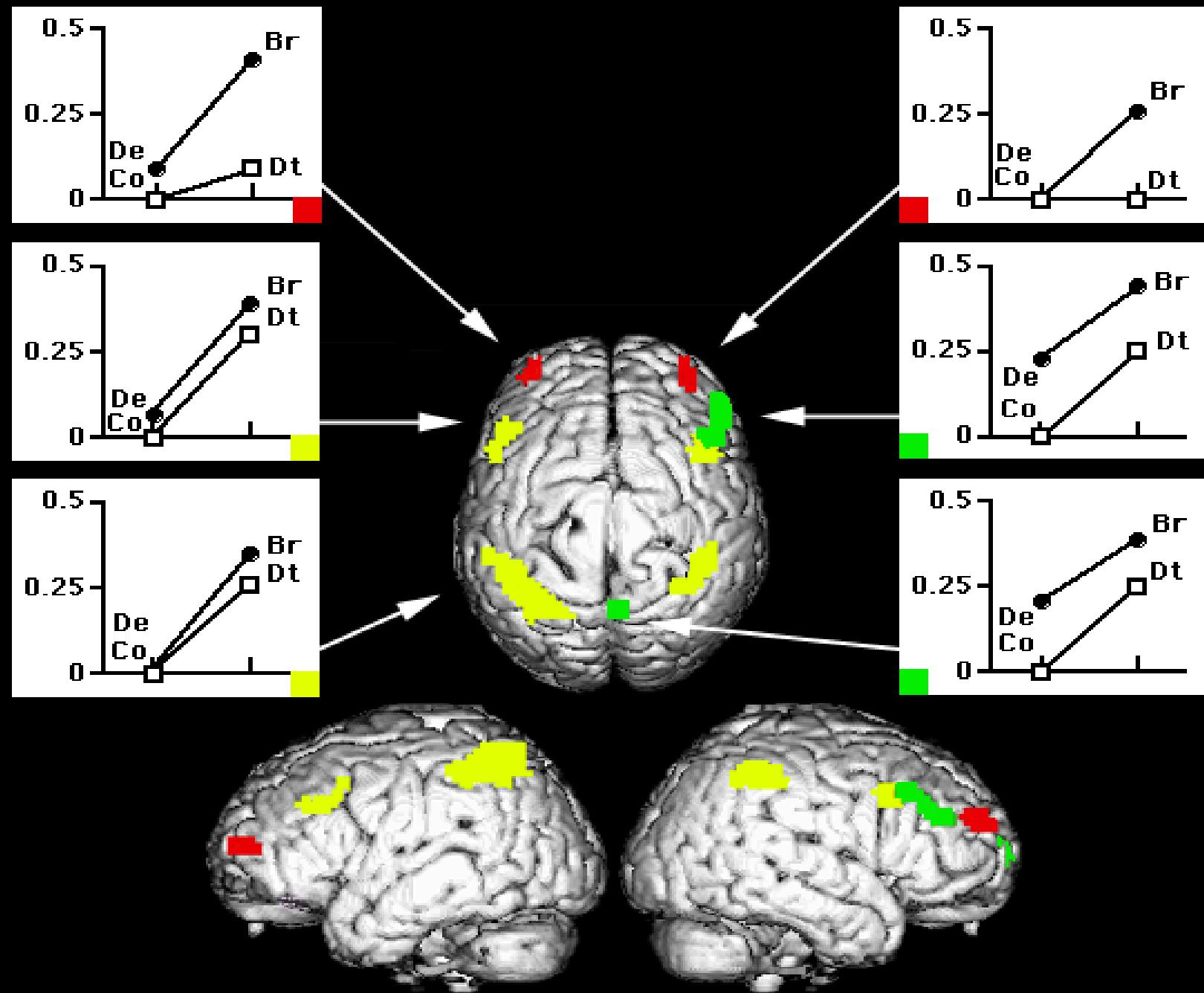


Koechlin et al., 1999: Nature; 2000: PNAS.

Koechlin & Hyafil, 2007, Science.

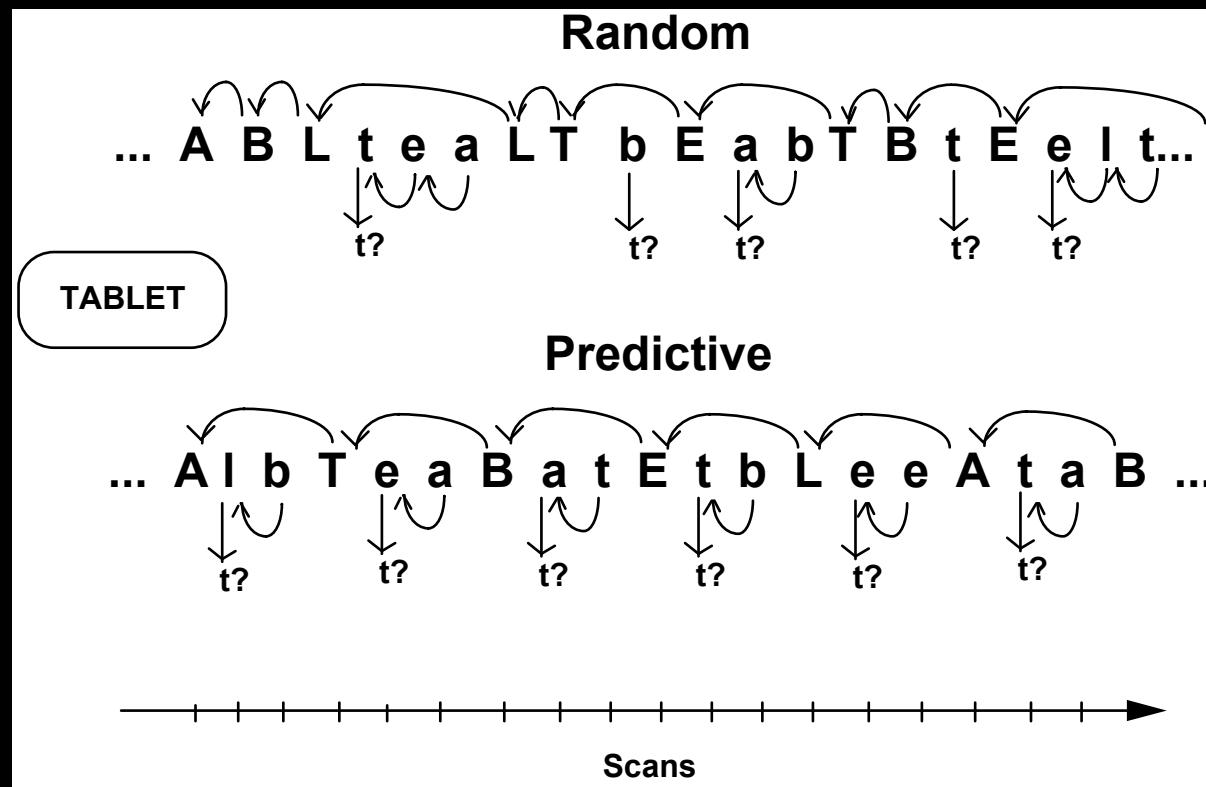
Experimental paradigm

TABLET	Delayed performance	Contextual control	Episodic control	Branching control
Control ... A ↗ B ↗ E ↗ T ↗ E ↗ A ↗ L ↗ T ...				
Delay ... A ↗ B ↗ L ↗ t e a L ↗ T ...	✓			✓
Dual-task ... A ↗ B ↗ L ↗ t ↗ e ↗ a ↗ "t?" ↗ L ↗ T ... "t?" ↗		✓	✓	
Branching ... A ↗ B ↗ L ↗ t ↗ e ↗ a ↗ L ↗ T ... "t?" ↗	✓	✓	✓	✓
... ━━ Scans ━━ 3s	—	Caudal LPFC	Rostral LPFC	Polar LPFC

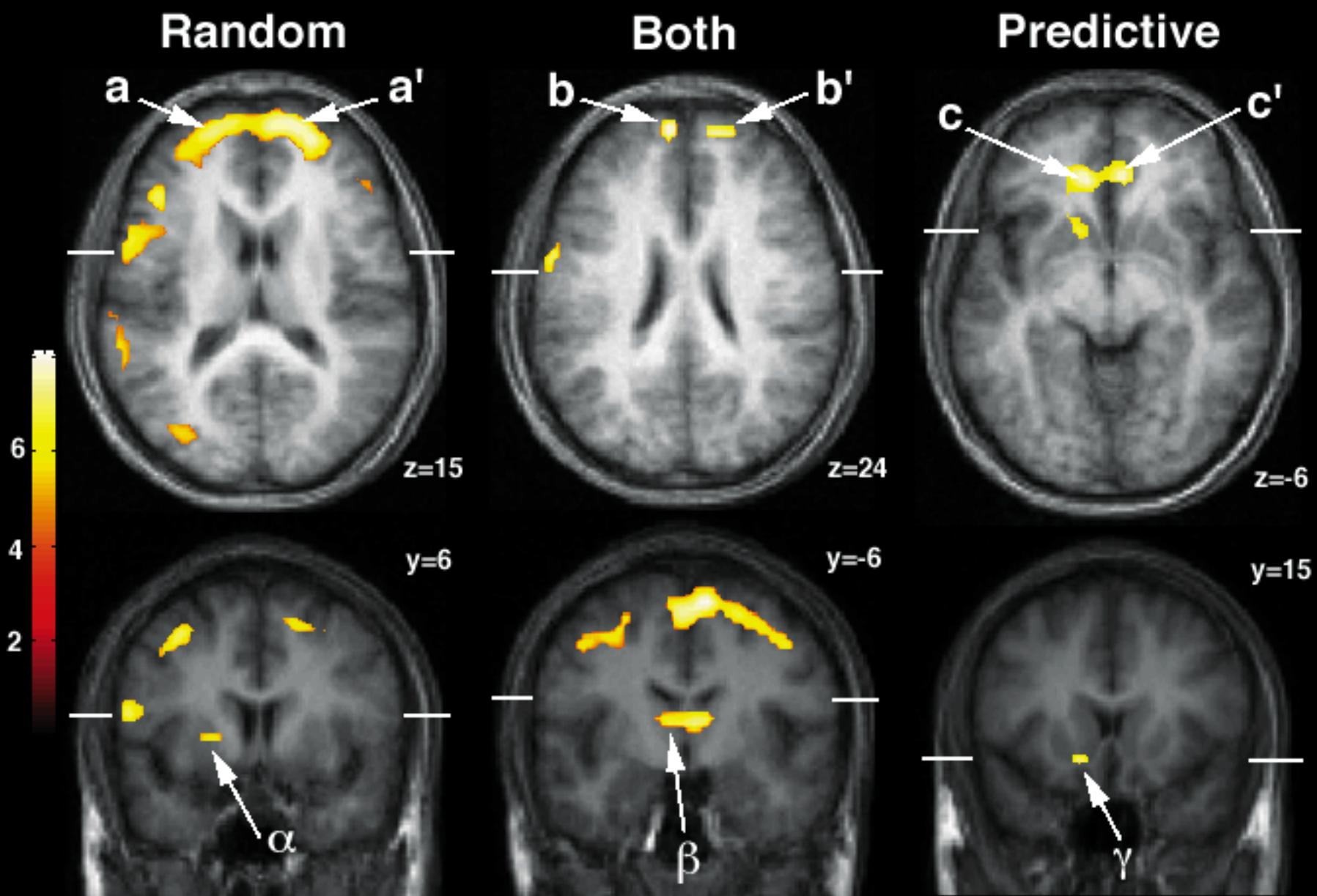


Koechlin et al., Nature 1999

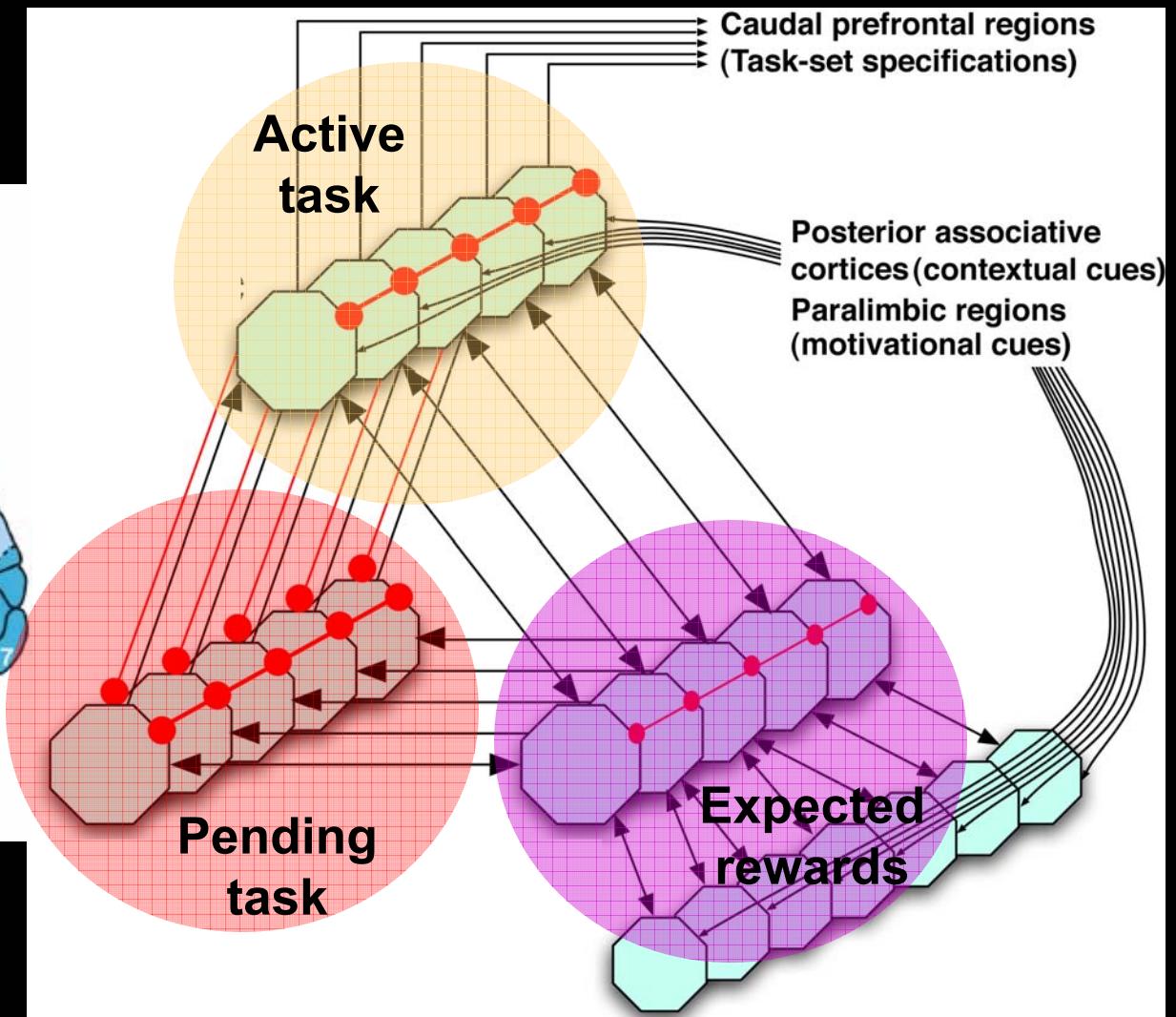
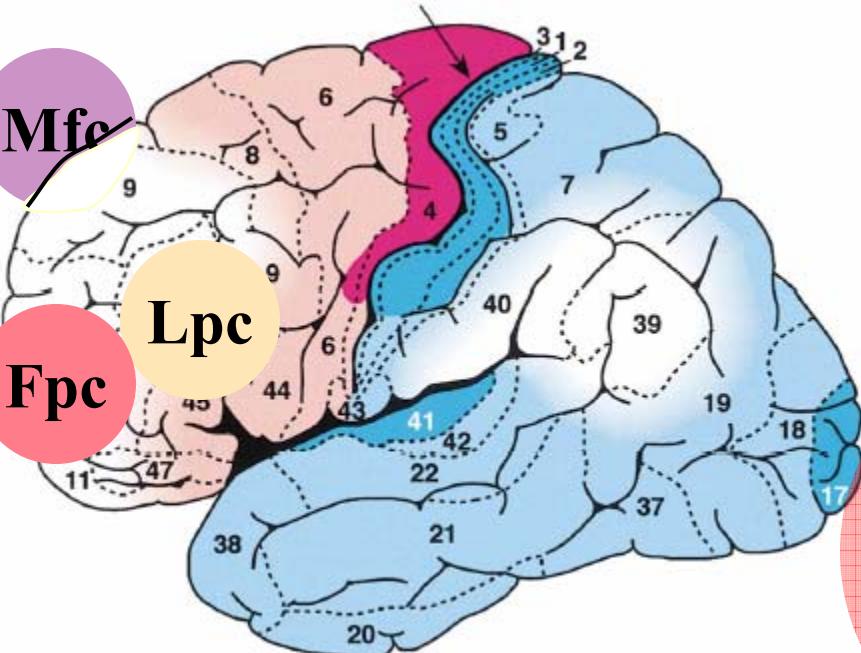
Experimental Paradigm



Baseline
Repetitive Stimulus-Response associations



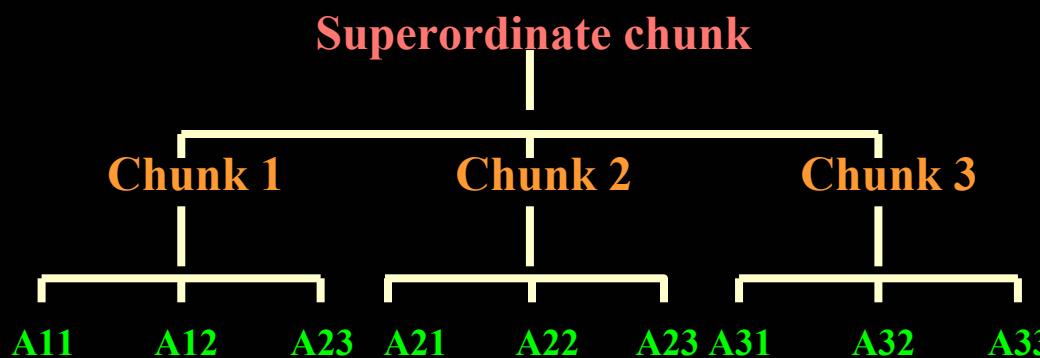
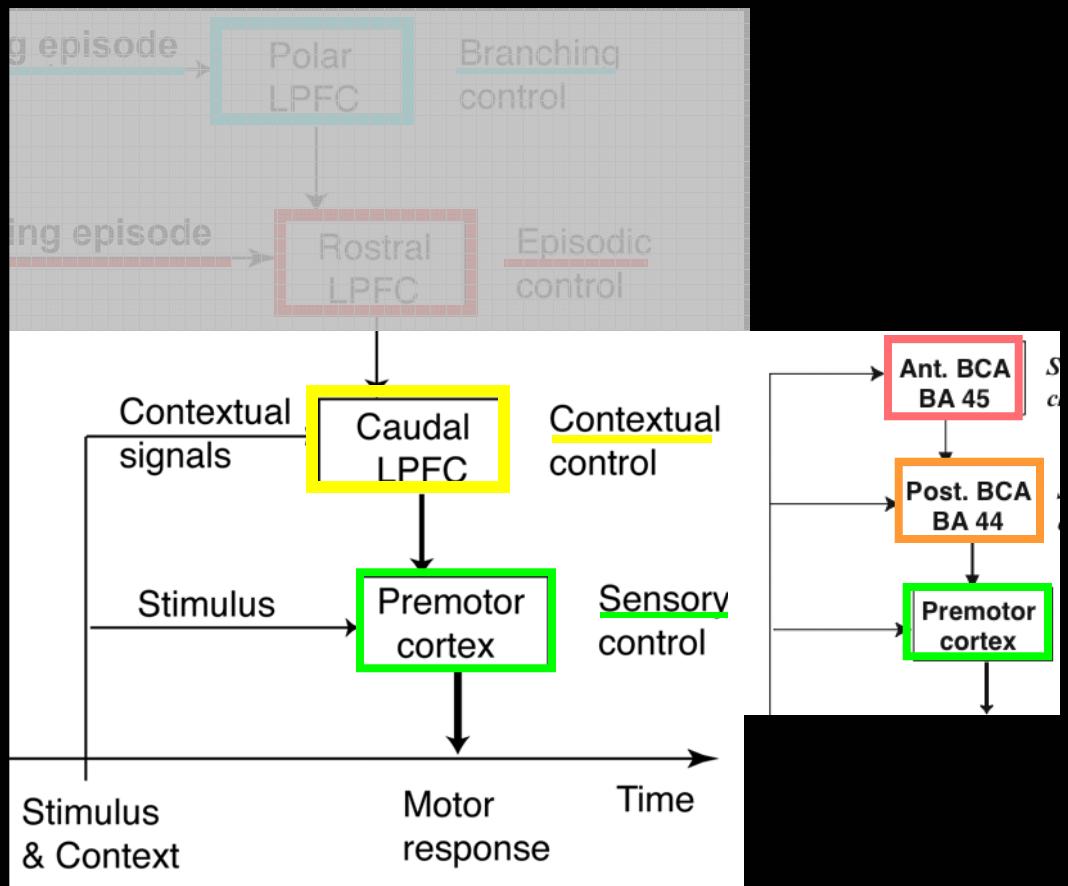
Neurocomputational model



$$X_j(t + dt) = X_j(t) + dt \left((1 - X_j(t)) E_{X_j}(t) - (.5 + X_j(t)) I_{X_j}(t) + \eta_X \sqrt{dt} \right)$$

Koechlin, Hyafil 2007, Science

Contextual control: Hierarchical levels



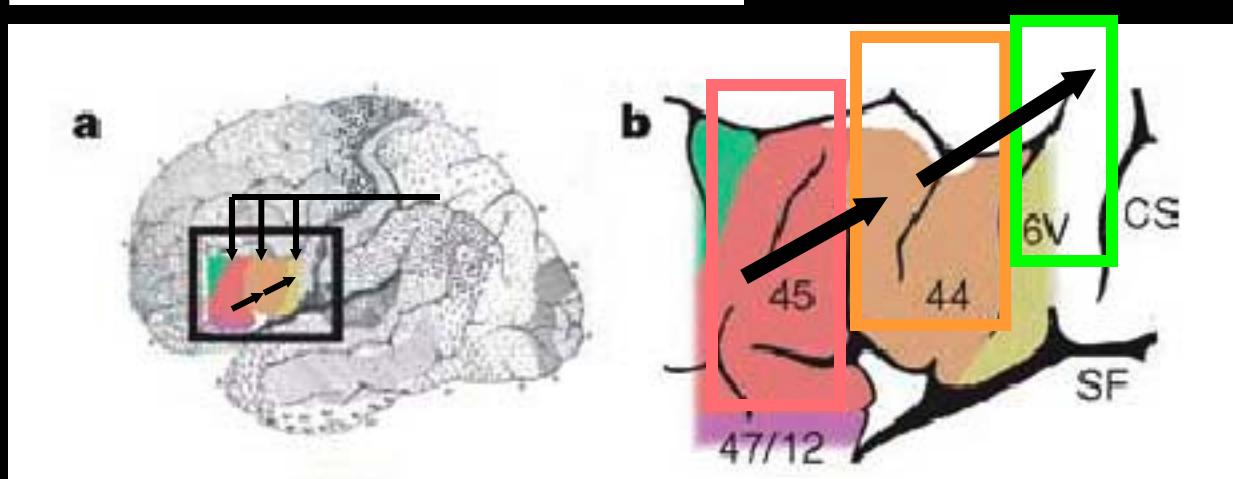
Hierarchical dimensions of cognitive control

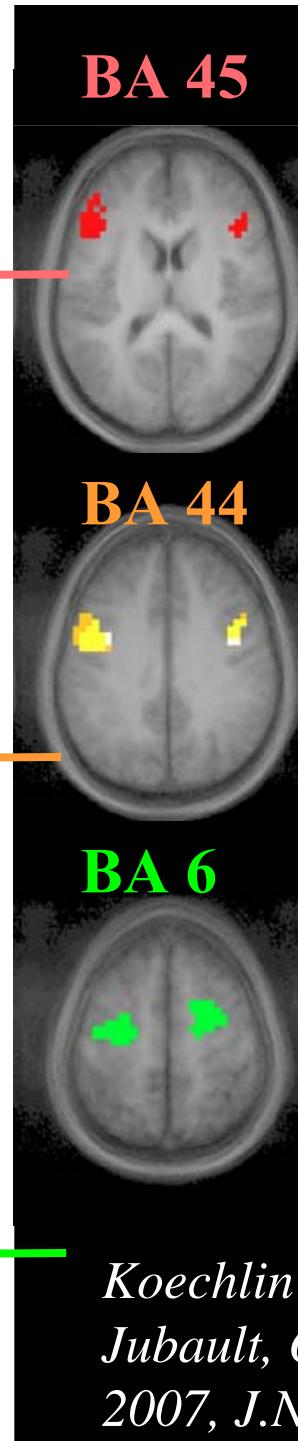
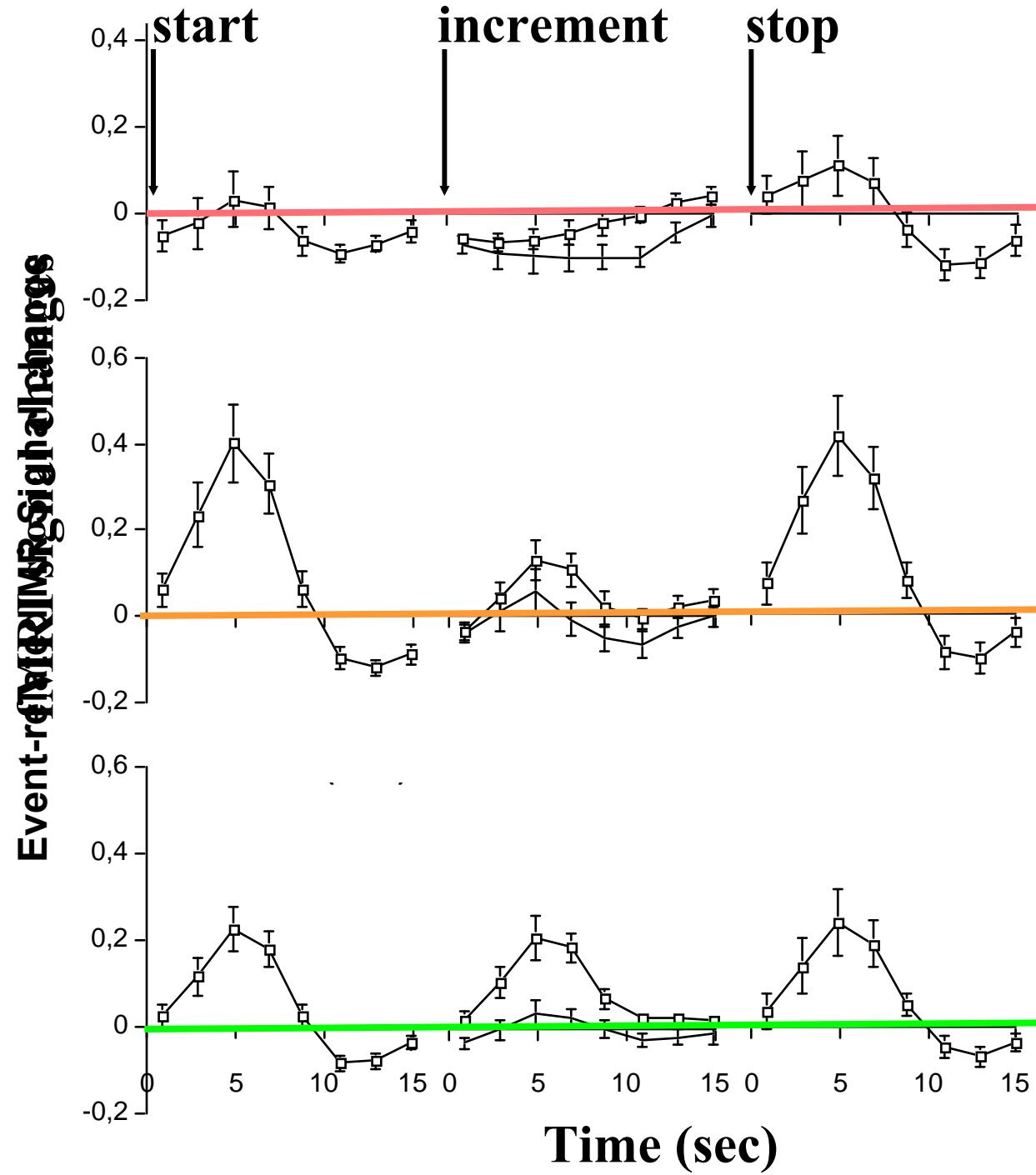
Synchronous

Hierarchical ↑

Polychronic

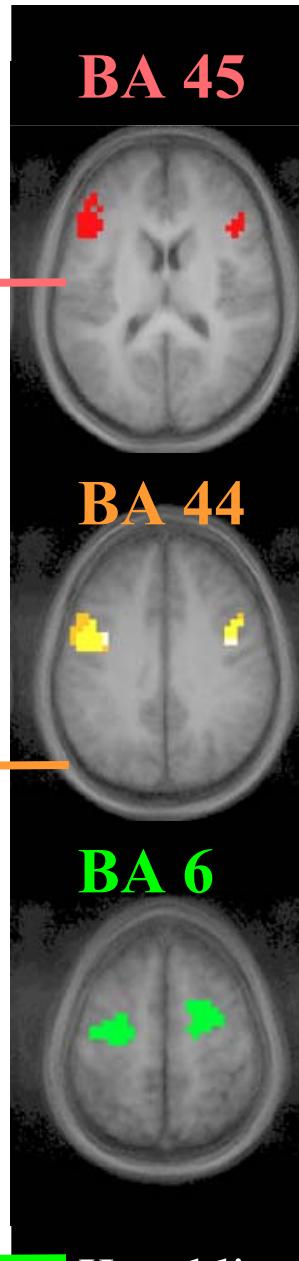
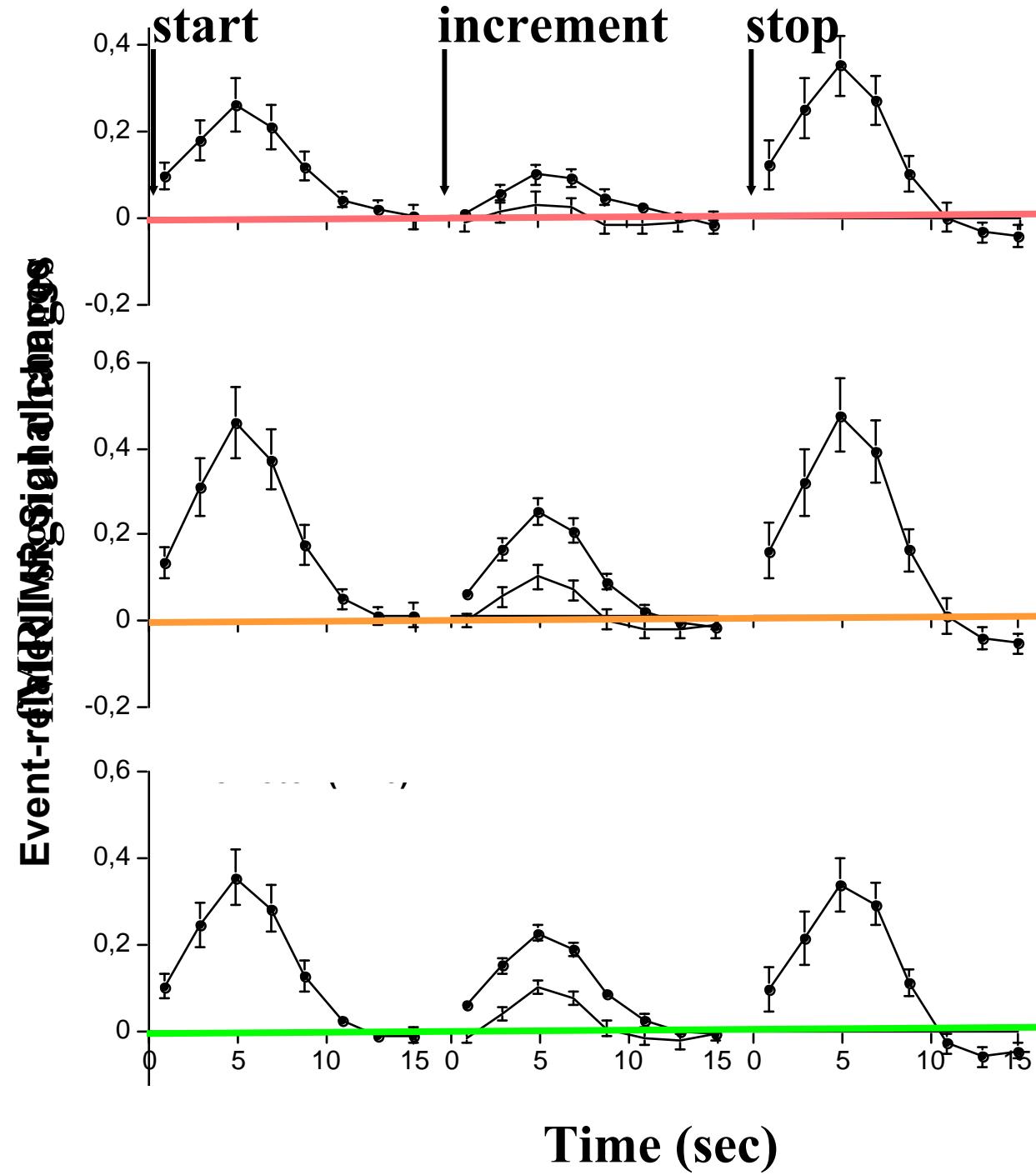
Diachronic





Simple chunk performance

Koechlin & Jubault. 2006, *Neuron*
Jubault, Ody & Koechlin,
2007, *J.Neurosci.*



**Superordinate
chunk
performance**

Superordin. chk



Koechlin & Jubault. 2006, *Neuron*
Jubault, Ody, Koechlin, 2007,
J. Neurosci.

Summary: cognitive control

- Cognitive control is organized as a cascade of top-down selection processes from posterior to anterior LPFC regions.
- Contextual control is implemented in posterior LPFC
- Episodic control is implemented in anterior PFC
- Both contextual and episodic control are further fractionable into two control levels.
- Conditional entropy **$Q(A/\text{lower level signals})$** measures selection demands at each control level.

What drives the engagement of
cognitive control in the LPFC ?

Motivation ...?

Psychological theory of motivation (Hull, 1943)

$$\text{Excitatory potential of action } i \longrightarrow E_i = P_i \times D$$

↑ ↗

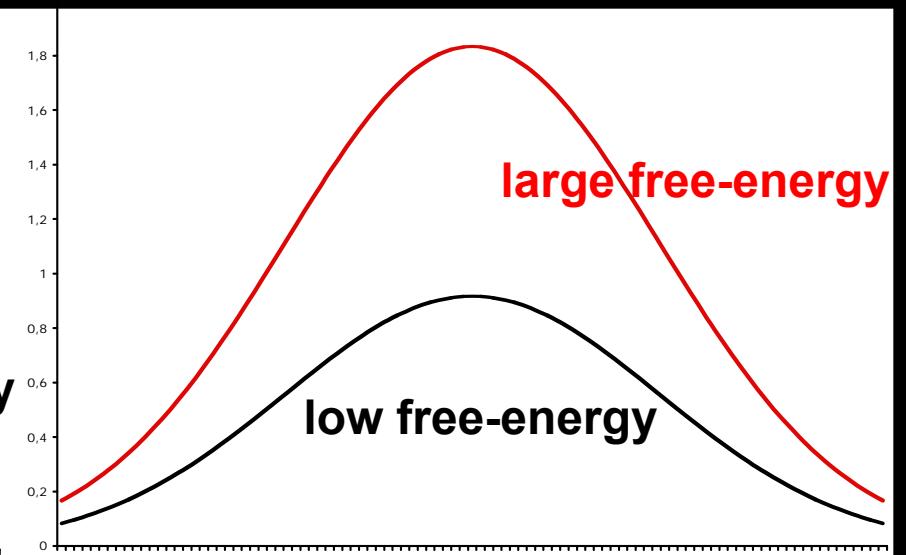
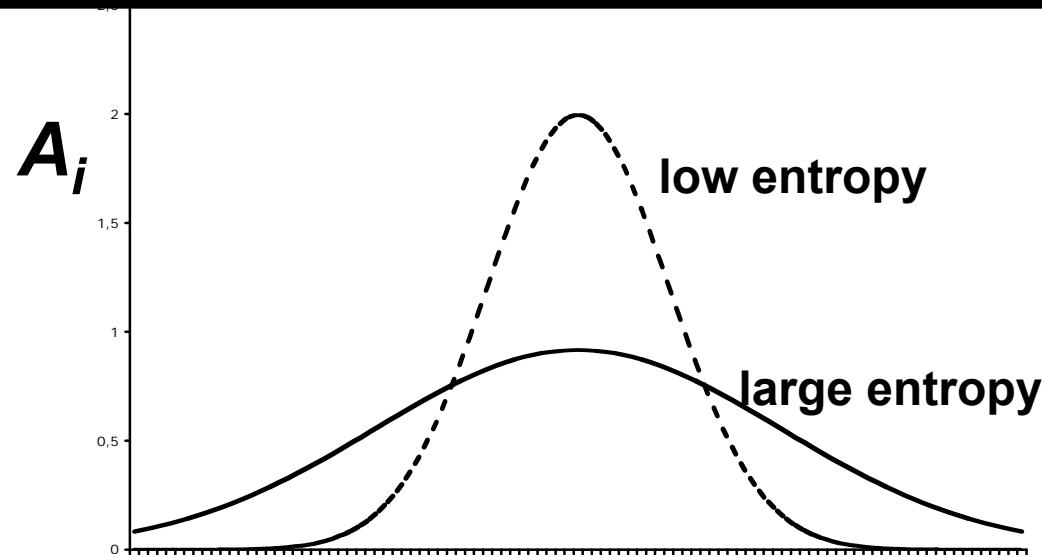
Frequency of action i Global incentive factor

Motivation has ambiguous effects on action selection:
beneficial or detrimental

Cognitive vs. Motivational

Selective information
Conditional entropy
(Koechlin et al., 2003)

Incentive values
Free-energy
(Friston et al., 2007)



Distribution of neuronal activity over alternative options

$$\text{Entropy} = -\sum \frac{A_i}{\sum A_j} \log \frac{A_i}{\sum A_j}$$

$$\text{Free-energy} = \log \sum A_j$$

Statistical Physics of executive function

$$\textit{Total Energy} = \textit{Entropy} + \textit{Free-Energy}$$

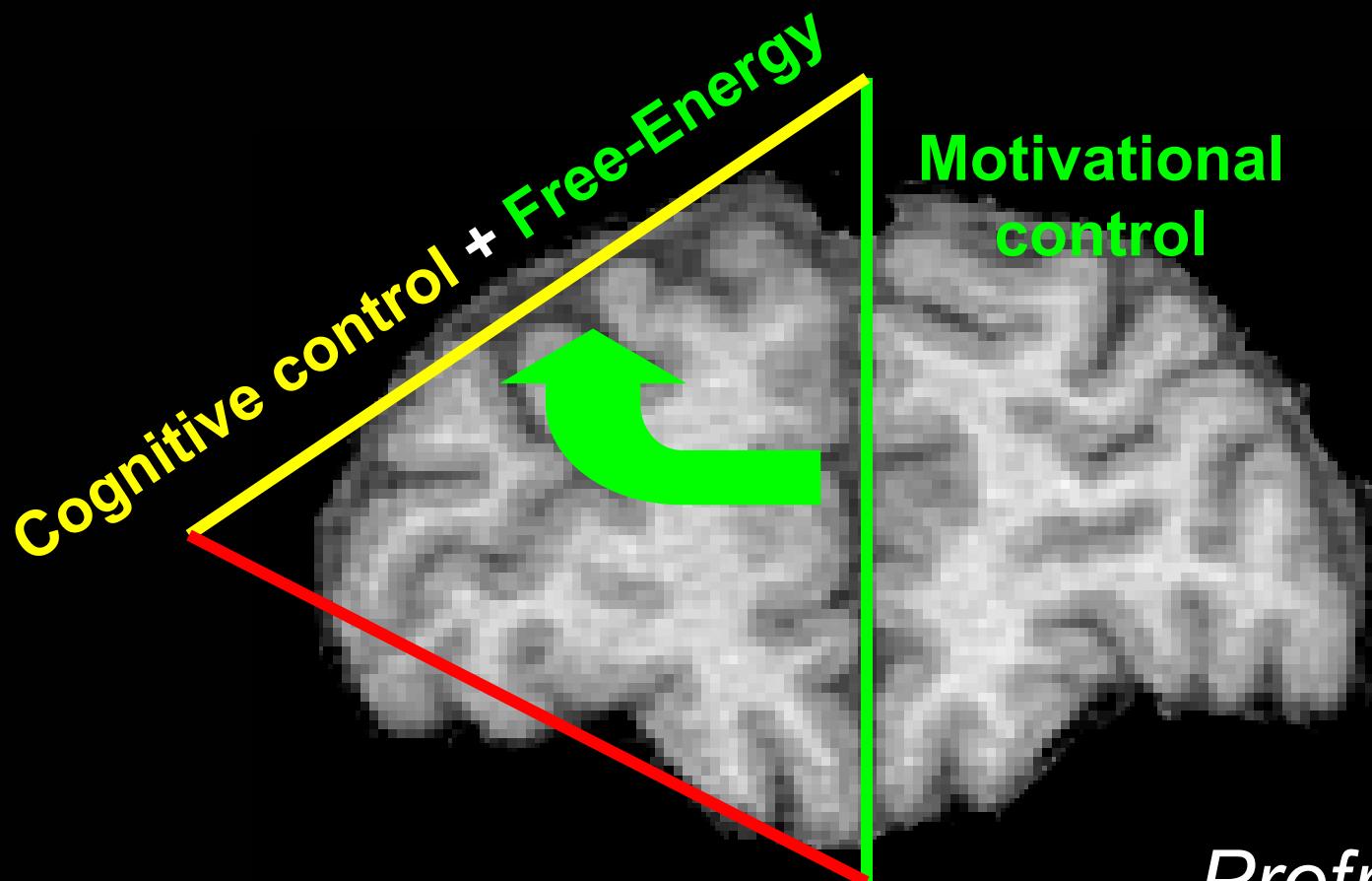
↑
Lateral prefrontal
activations

↑
Cognitive control
demands

↑
Motivational
control

Hypothesis:

The medial PFC subserves motivational control

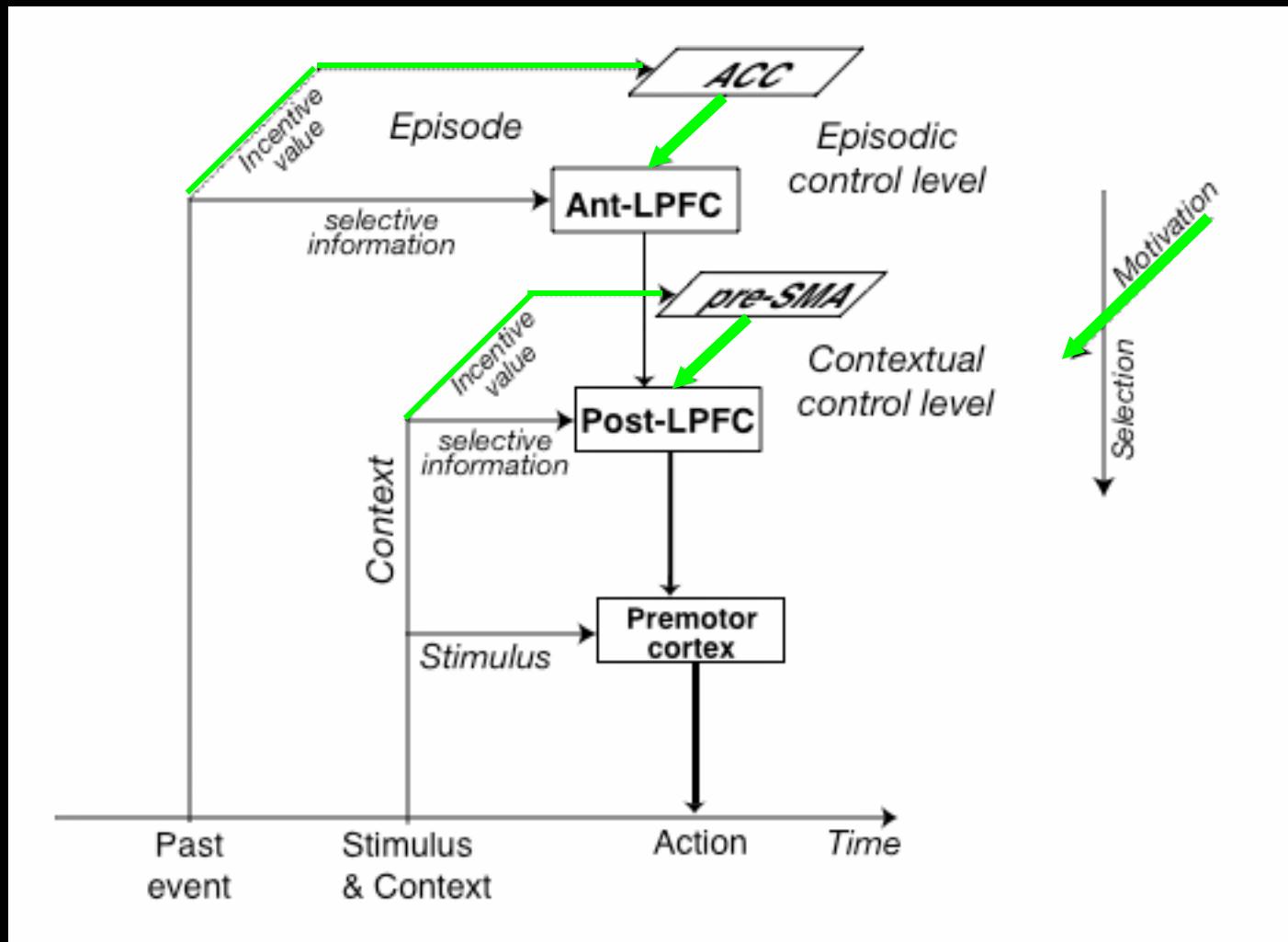


*Prefrontal cortex
Coronal section*

Hypotheses

- Medial PFC regulate the engagement (i.e. free-energy) of lateral PFC regions in cognitive control *according to rewards/penalty at stake in action, independently of control demands* (i.e. conditional entropy).
- The organization of motivation in the medial PFC parallels the architecture of cognitive control in the lateral PFC: To each cognitive control level corresponds a medial region modulating its free-energy

The dual model

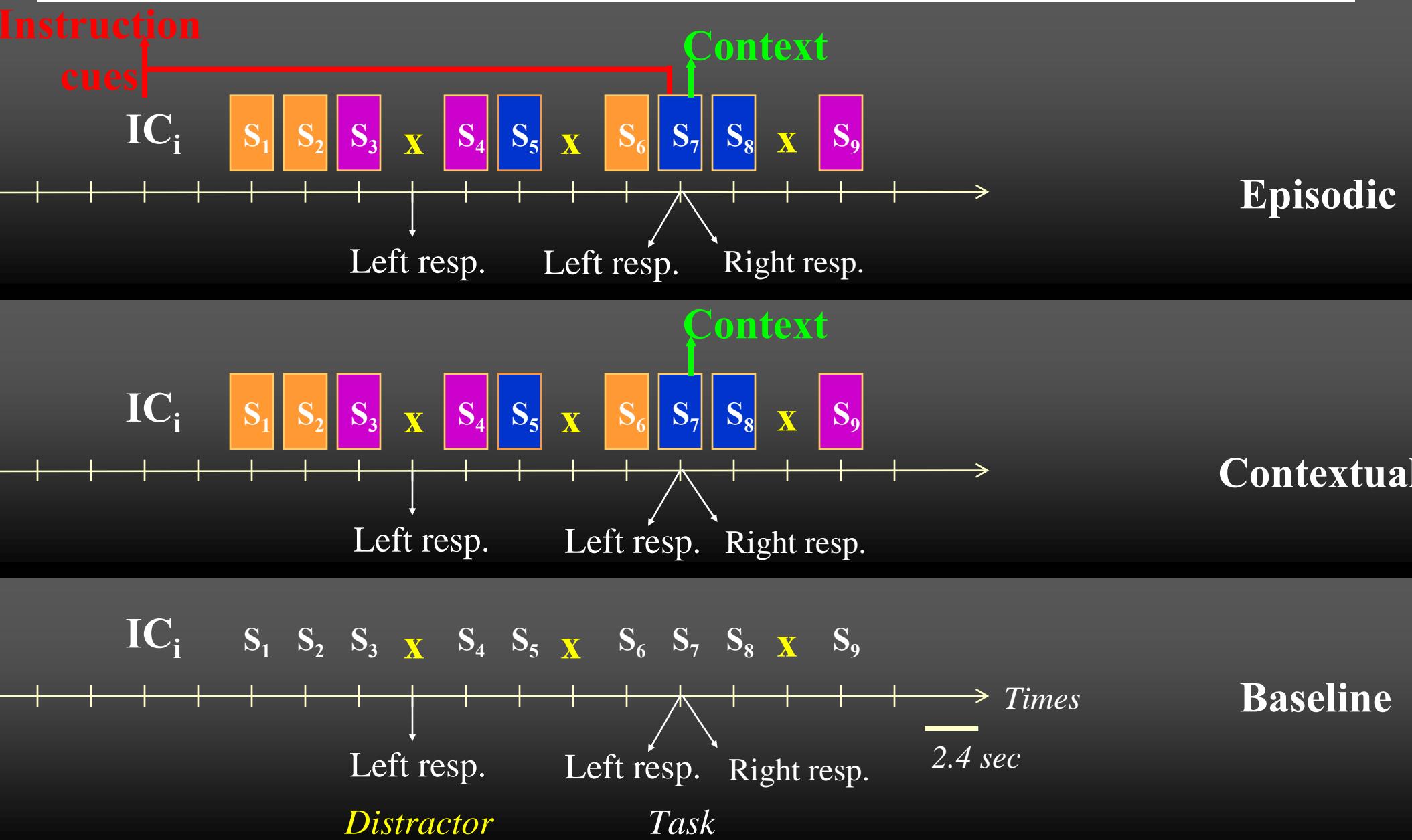


Kouneiher, Charron, Koechlin, 2009, *Nature Neurosci.*

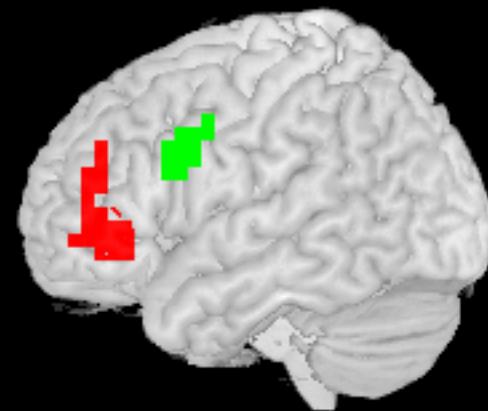
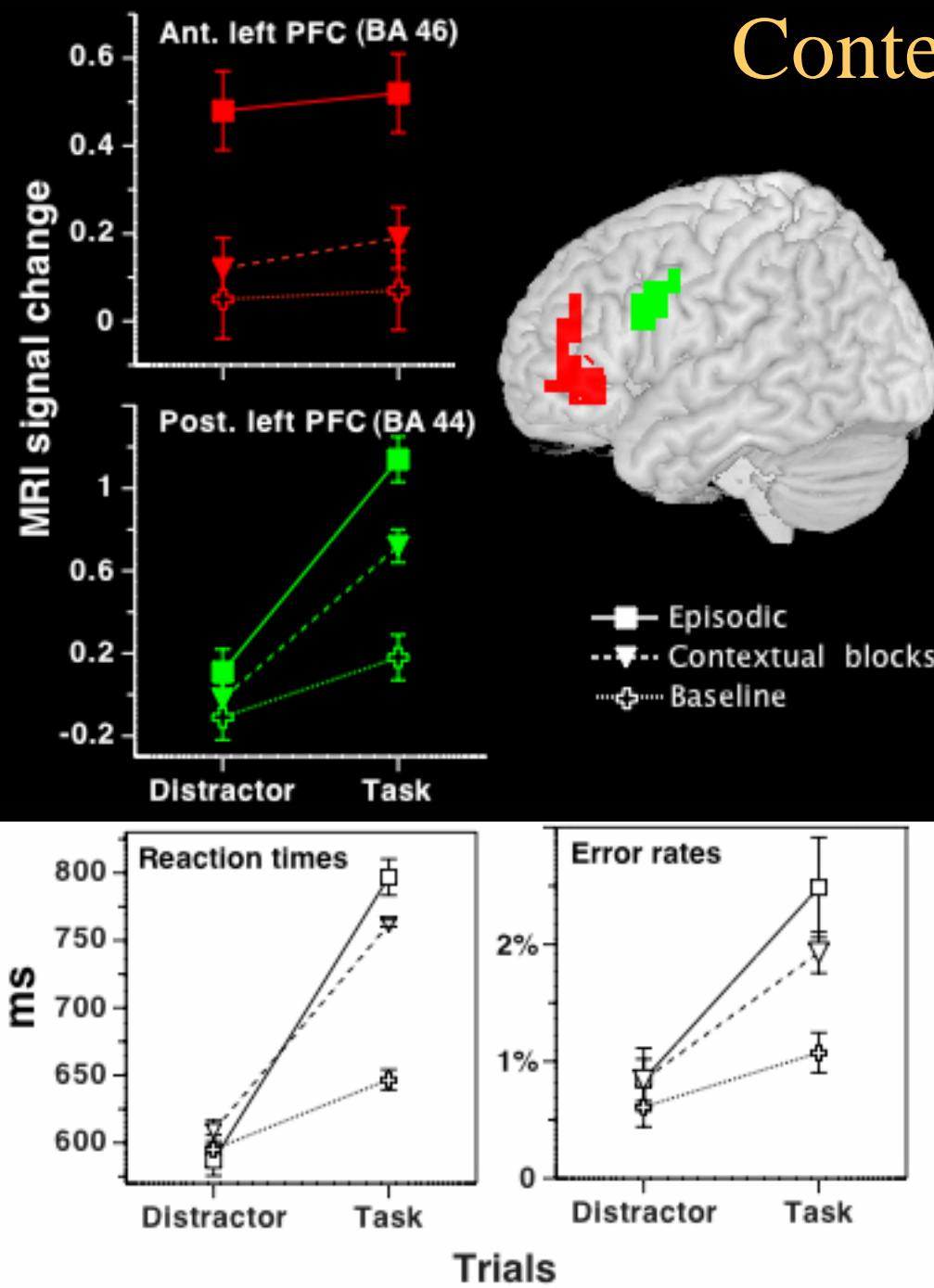
Predictions

- Pre-SMA exhibits transient activations varying as the reward/penalty at stake in immediate action (contextual motivation).
- dACC exhibits sustained activations representing the reward/penalty at stake in subsequent action (episodic motivation).
- Interactions from medial to lateral PFC regions convey contextual and episodic motivation values.
- Post-LPFC activations show additive effects of contextual motivation and control, whereas anterior LPFC activations show additive effects of episodic motivation and control.
- top-down interactions from anterior to posterior LPFC reflect episodic control and motivation

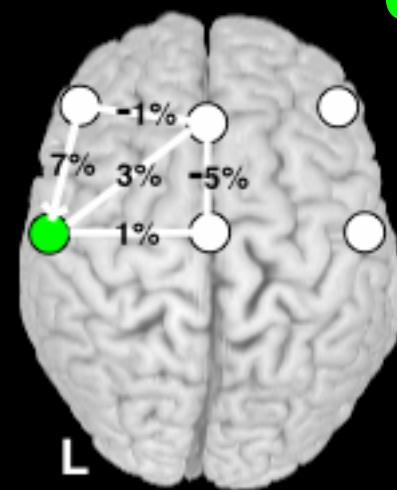
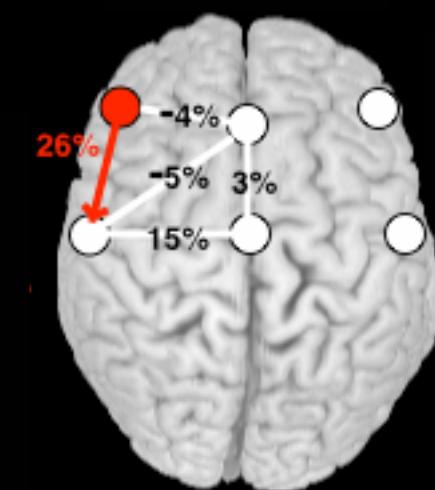
Experimental Protocol: cognitive factors



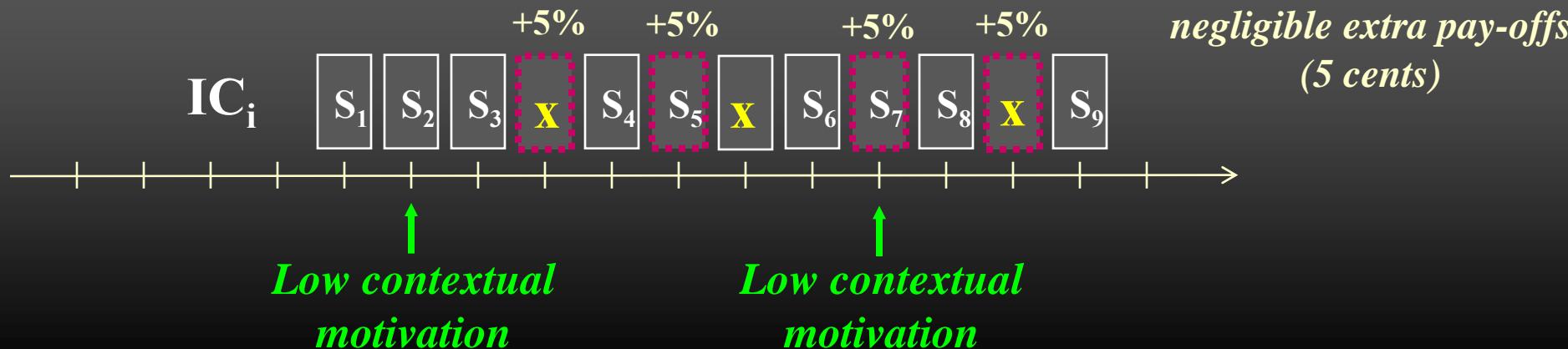
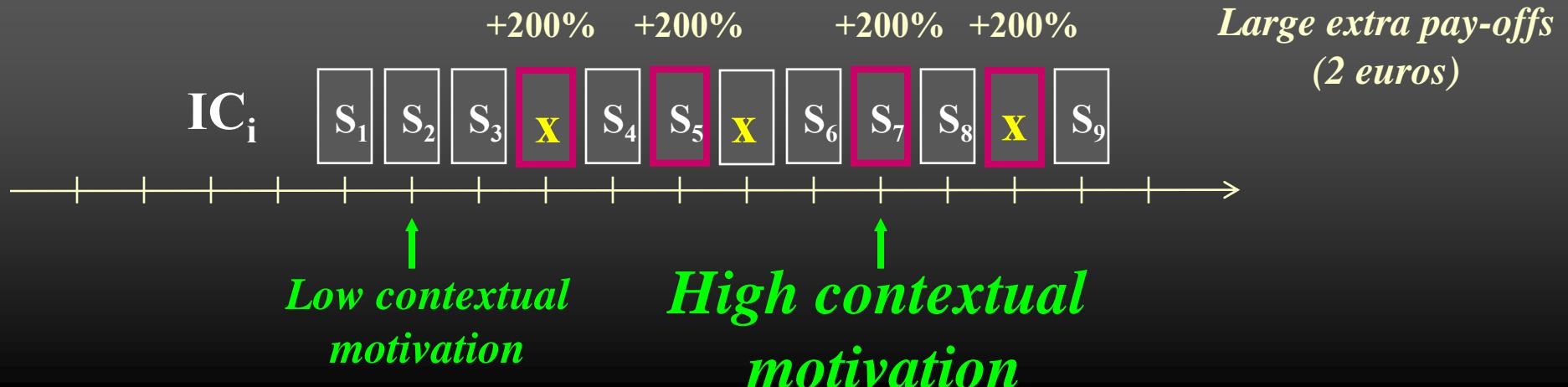
Contextual vs. Episodic control



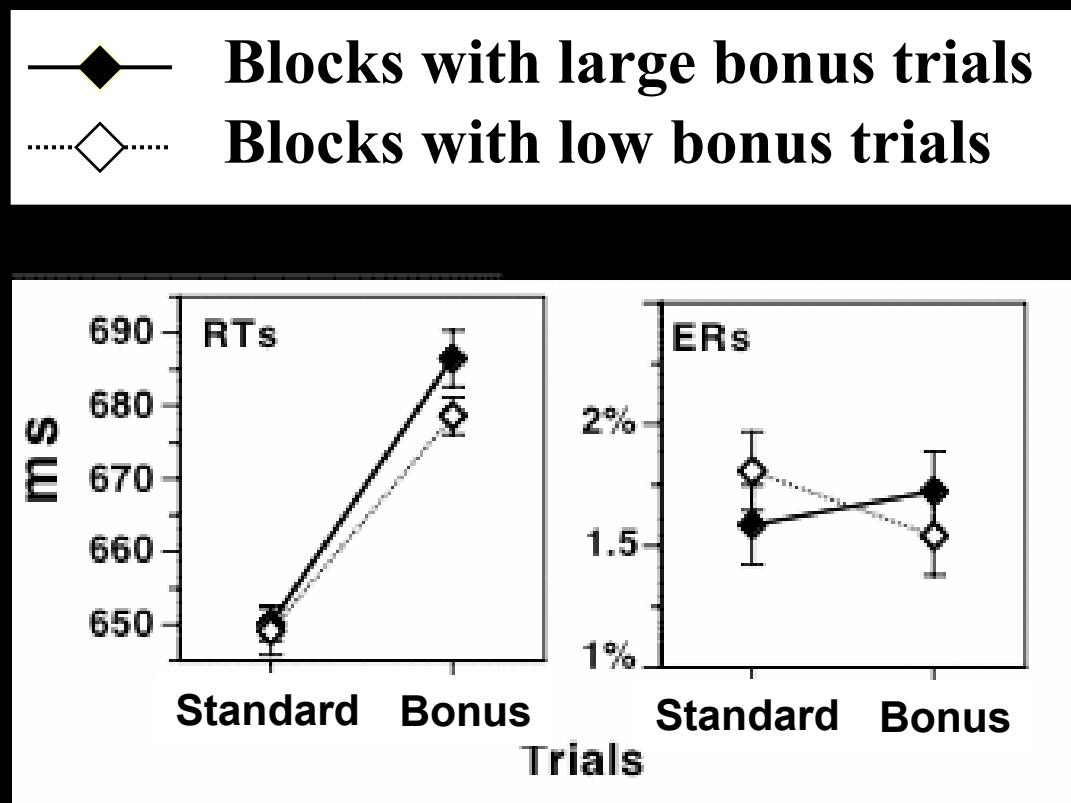
Episodic
Contextual blocks
Baseline



Experimental Protocol: contextual motivation

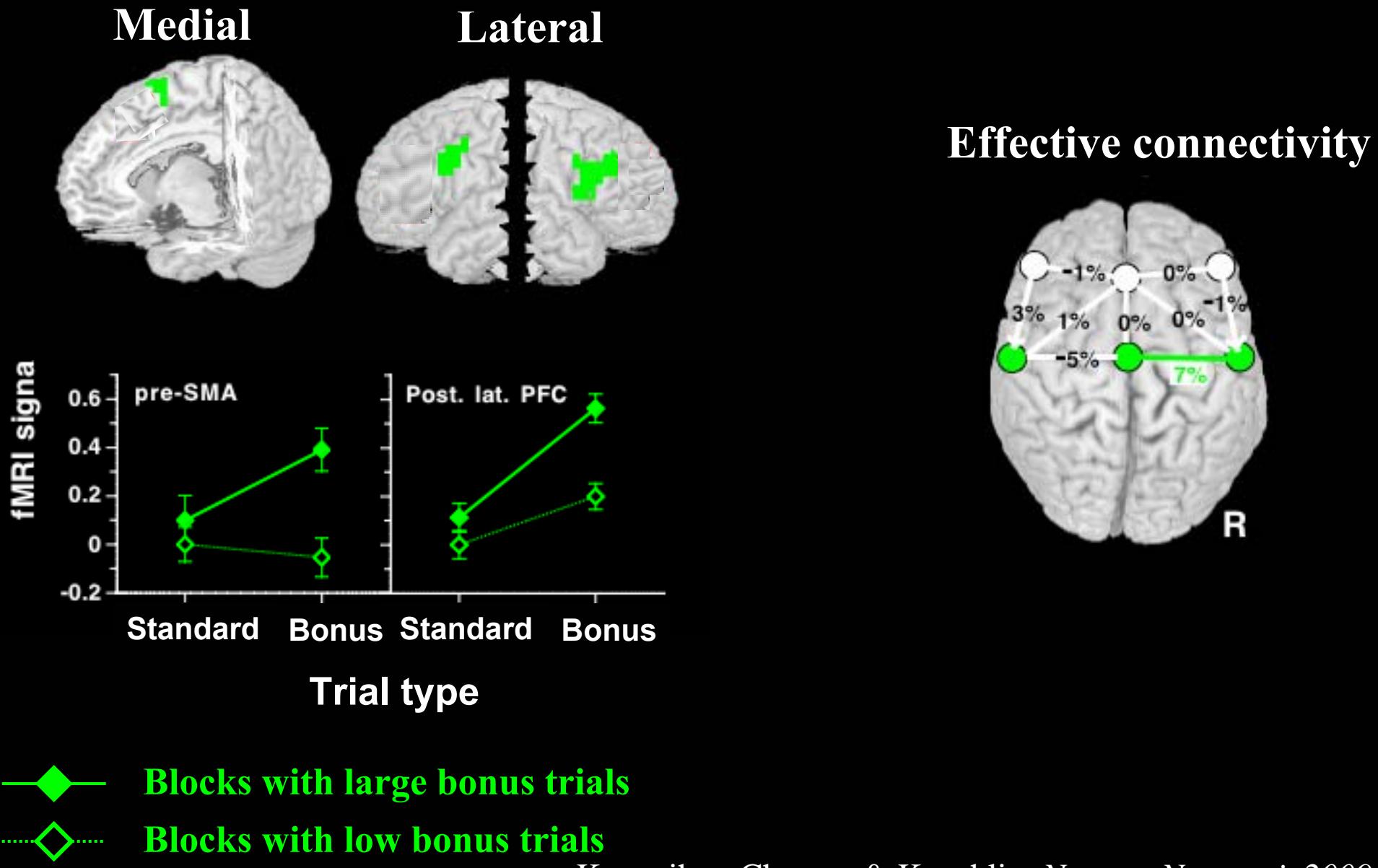


Behavioral performances



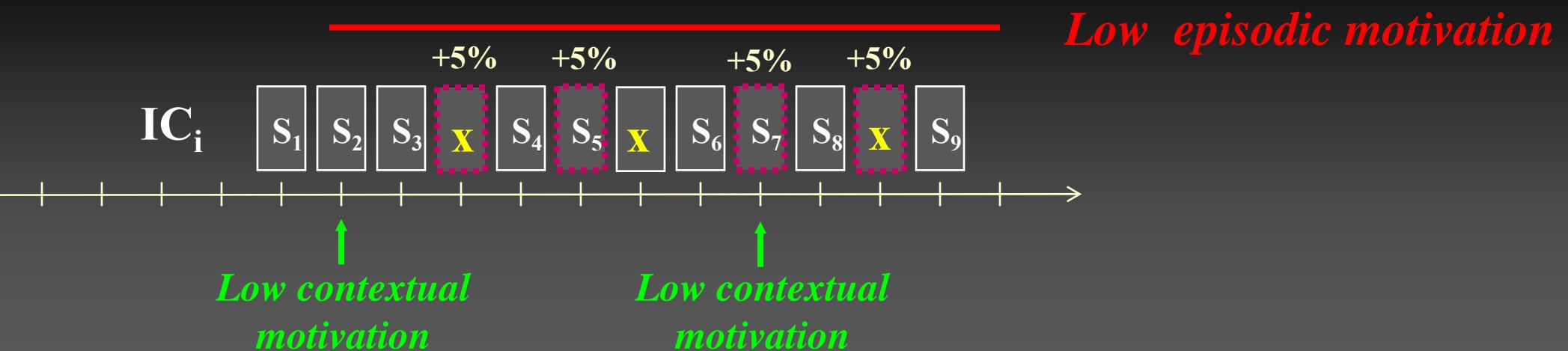
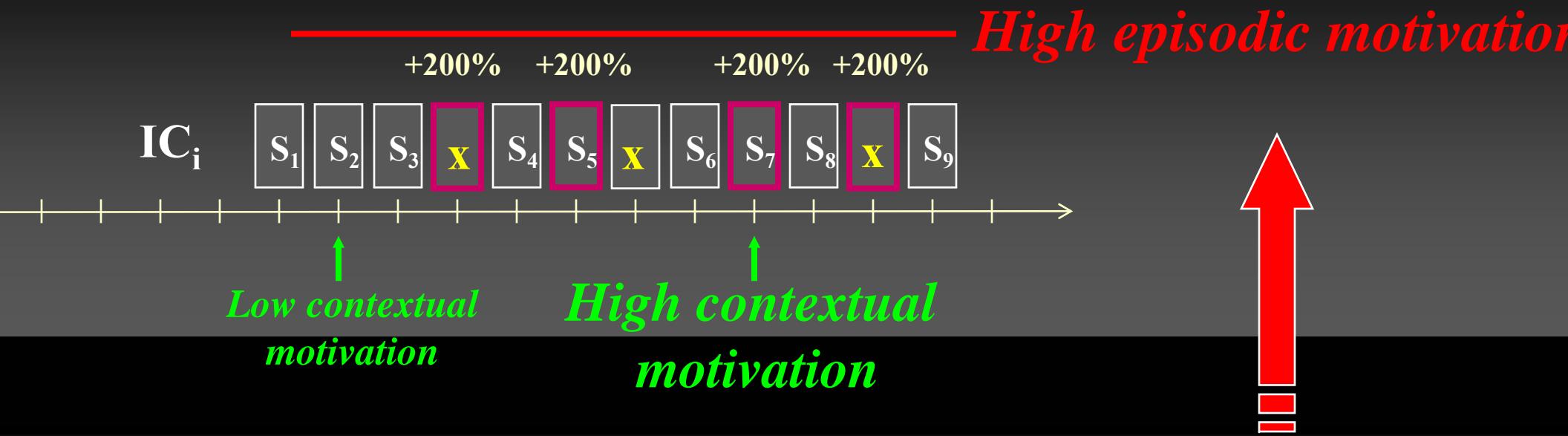
Kouneiher, Charron, Koechlin, *Nature Neurosci.*, 2009

Contextual motivation: fMRI data

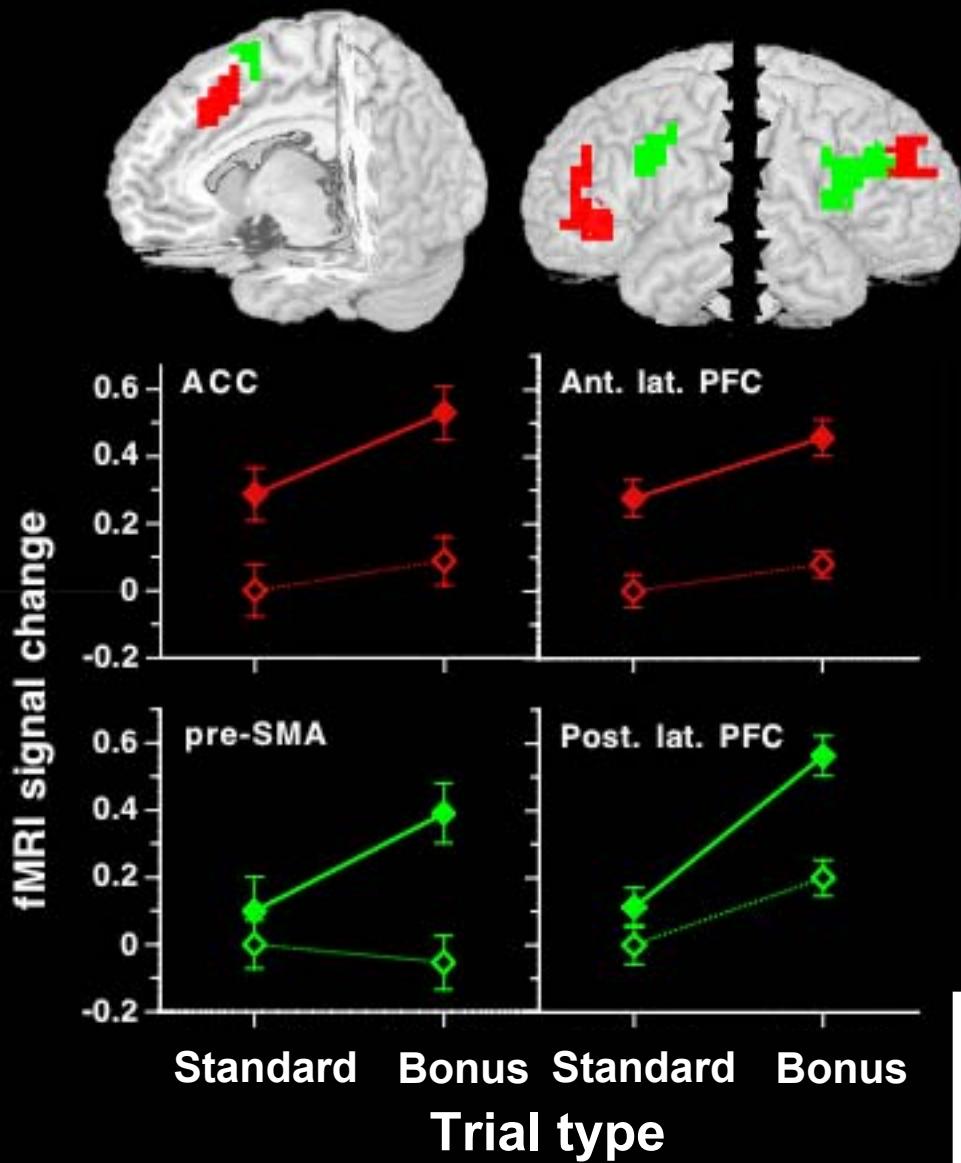


Kouneiher, Charron & Koechlin, *Nature Neurosci.* 2009

Experimental Protocol: episodic motivation

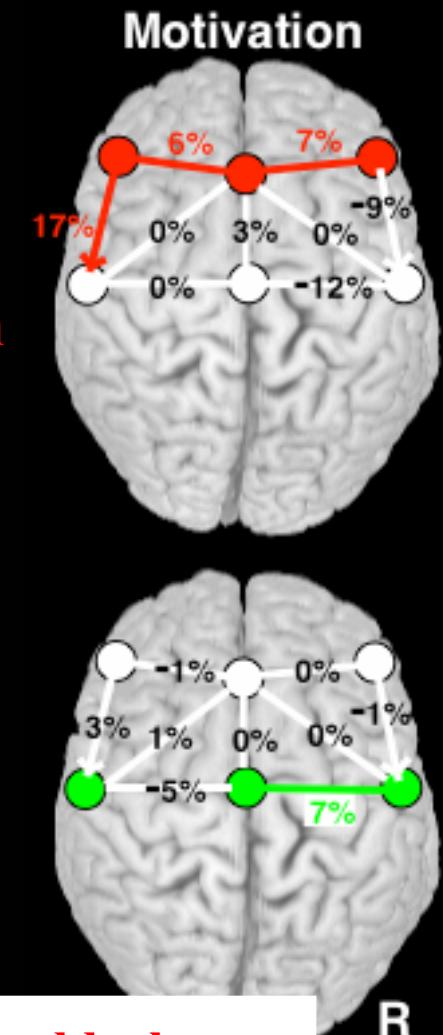


fMRI data: Episodic motivation



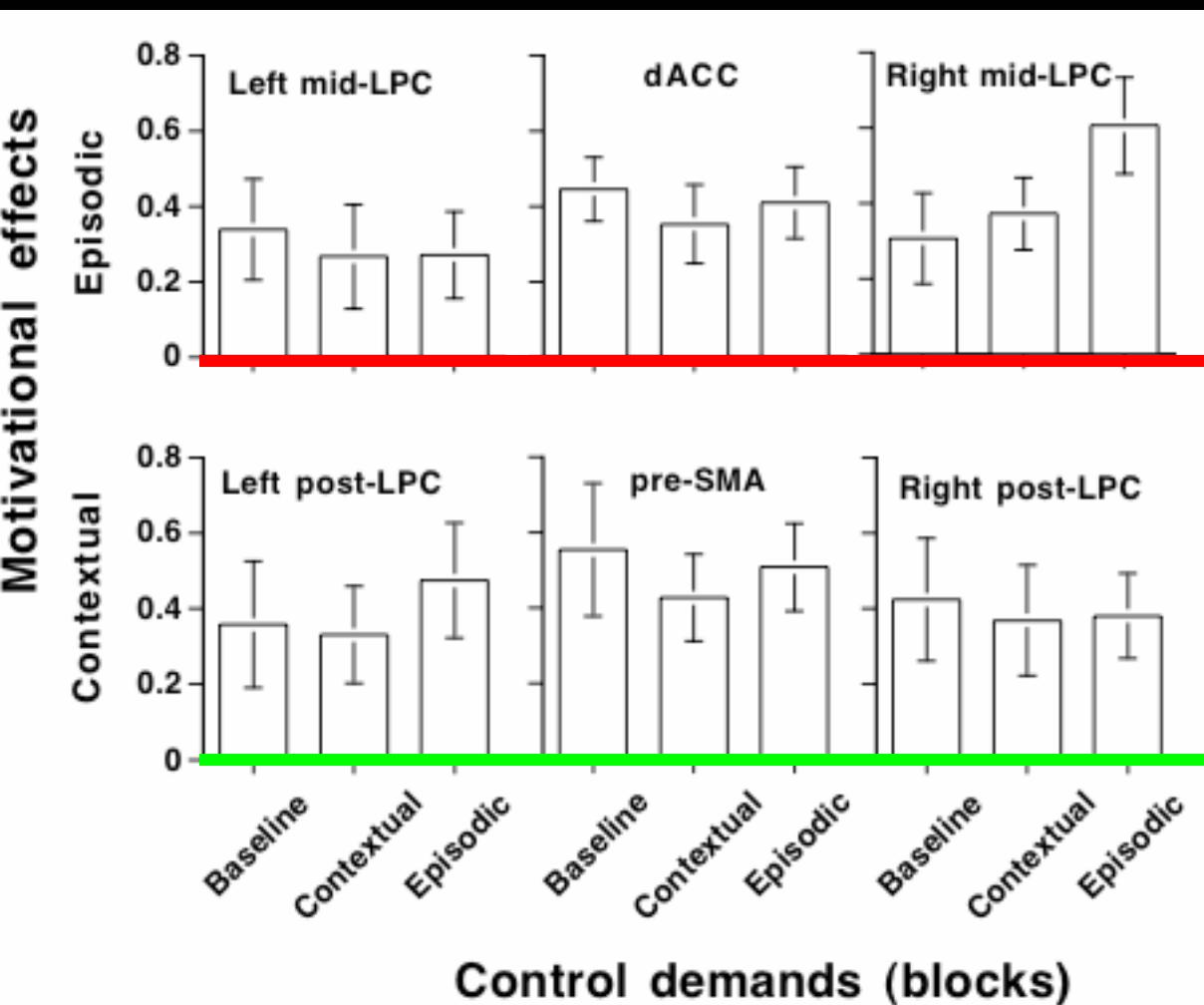
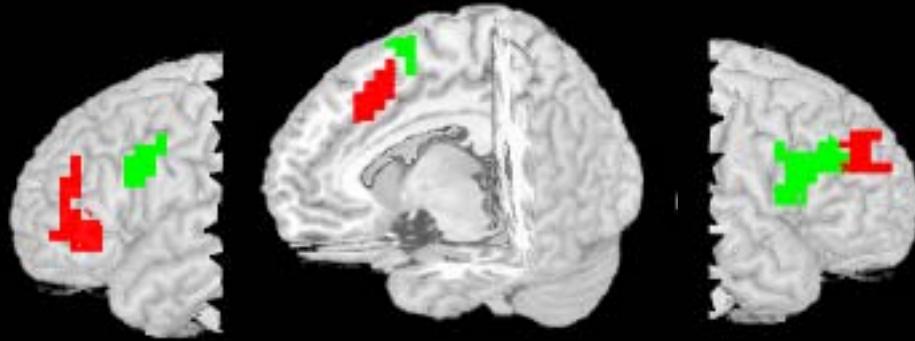
Episodic motivation

Contextual motivation

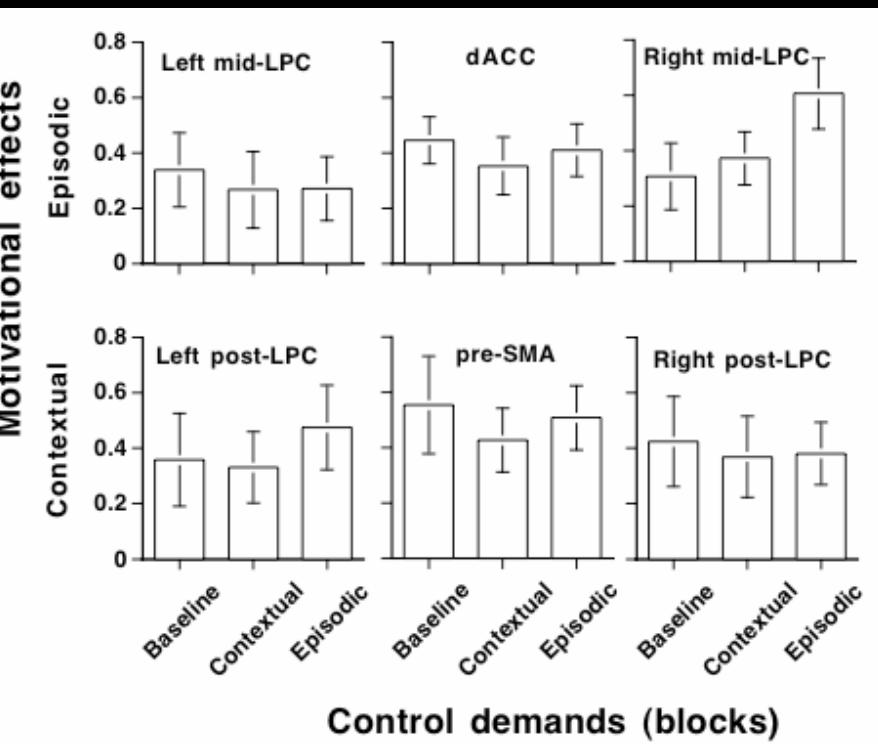
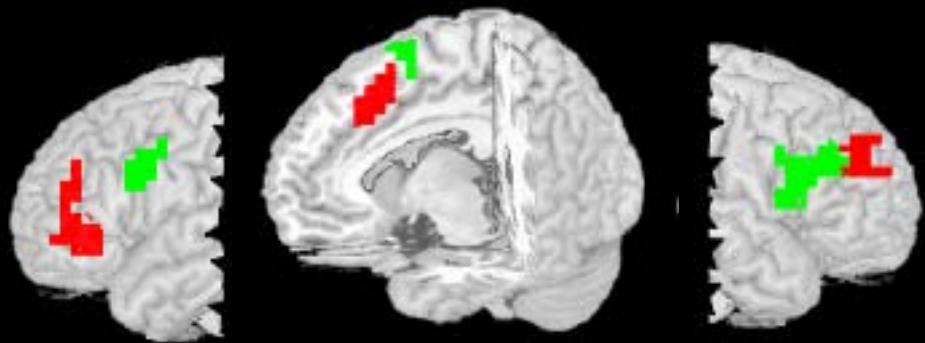


- ♦— High incentive blocks
- Low incentive blocks

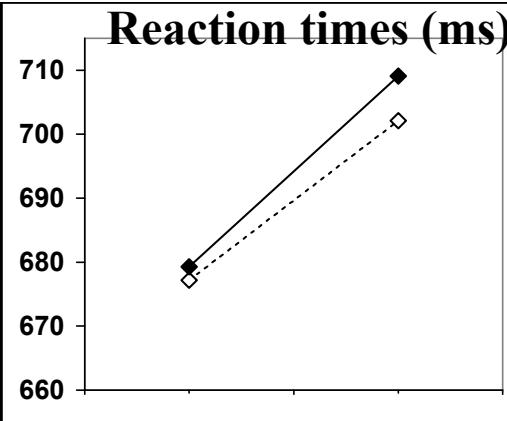
Control + Motivation



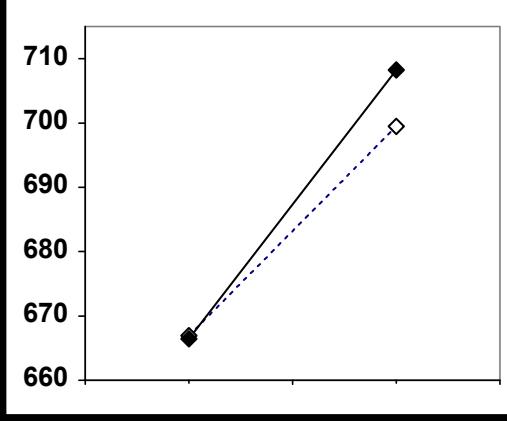
Control + Motivation



Episodic blocks



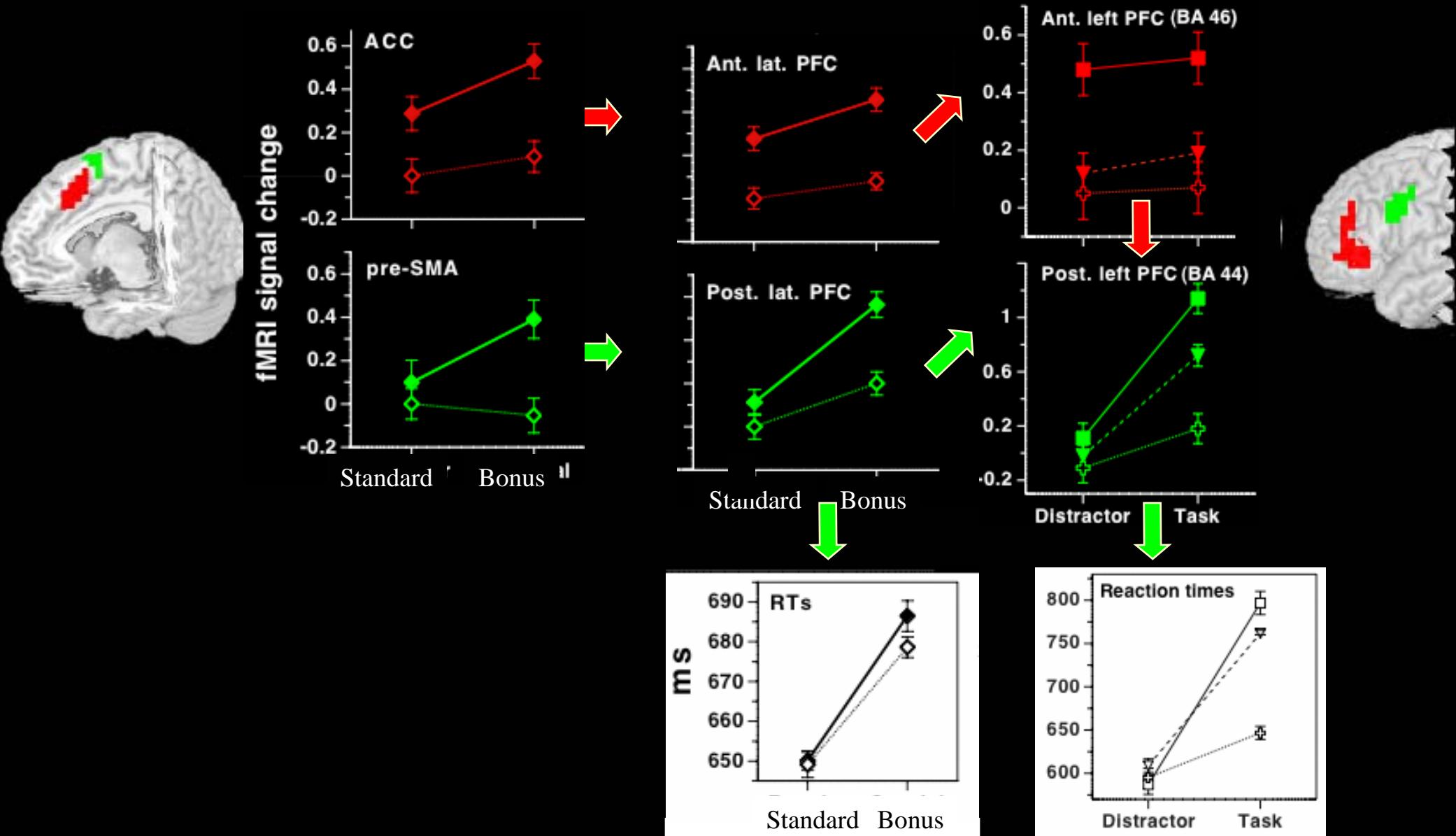
Contextual blocks



Baseline blocks



Motivation and control in the PFC



To conclude...

- The prefrontal executive function is organized as two parallel, hierarchical systems from posterior to anterior regions in the medial and lateral PFC .
- Post- and mid- lateral PFC select action sets according to immediate contextual signals (contextual control) and temporally remote events (episodic control) respectively, operating through a cascade of top-down interactions towards premotor cortex.
- Post- and mid-. medial PFC evaluate immediate contextual incentives and temporally remote incentives for weighting through medial-to-lateral interactions the involvement of contextual and episodic control, respectively.
- The dichotomy between control and motivation in prefrontal executive function is captured by the basic distinction between the concept of entropy and free-energy in population of neurons.
- Motivation enhances prefrontal control rather than improving selection!